

Consultant Accreditation Deed

Deed providing for accreditation to provide services

South East Water (SEW)

and

[insert name] (Consultant)







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Details

Date

Parties

Name South East Water Corporation

ABN **89 066 902 547**

Short form name **SEW**

Address WatersEdge, 101 Wells Street, Frankston VIC 3199

Name [insert name]
ABN [insert ABN]
ACN [insert ACN]
Short form name Consultant
Address [insert address]

Background

- A <u>SEW</u> is a body corporate established by section 85 of the *Water Act 1989* (Vic) and is a water corporation under that Act.
- B <u>SEW</u> seeks to ensure that <u>Water Infrastructure</u> designed and constructed by third parties for <u>SEW</u> is designed and constructed in a suitable manner and to appropriate standards.
- C <u>SEW</u> has an interest in ensuring, and a responsibility to ensure that <u>Water Infrastructure</u> constructed by third parties is designed and constructed in a suitable manner and to appropriate standards.
- D In order to ensure that this <u>Water Infrastructure</u> is designed and constructed in a suitable manner and to appropriate standards only parties accredited by <u>SEW</u> may design or construct this <u>Water Infrastructure</u>.
- E <u>SEW's</u> previous accreditation process operated between 2014 and 2023.
- F The <u>Consultant</u> has applied for <u>Accreditation</u>. This <u>Accreditation Deed</u> sets out the terms and conditions of <u>Accreditation</u>.



RPOR delive	TED by SOUTH EAST WATER RATION by being signed sealed cred in Victoria by its Attorney	
holds	s the position of	
er Pow	ver of Attorney	
		Signature of Attorney
1. EX	XECUTED BY CORPORATION)N
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a.	Executed by two directors, or a	a director and a company secretary
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a. Ex Siş	Executed by two directors, or a executed by [company name] in a case of Director	a director and a company secretary ecordance with section 127 of the Corporations Act 2001 (Corporations Act 2001) Signature of Director/Company Secretary

OR



2. EXECUTED BY CORPORATE TRUSTEE

a. Executed by two directors, or a director and a company secretary				
Executed by [company name] as trustee for [trust name] in accordance with section 127 of the Corporations Act 2001 (Cth) by:				
Signature of Director	Signature of Director/Company Secretary			
Name of Director	Name of Director/Company Secretary			
b. Executed by sole director				
Executed by [company name] as trustee for [trust name] in accordance with section 127 of the Corporations Act 2001 (Cth) by its sole director and company secretary:				
Signature of sole Director and Comp	oany Secretary			
OR				
EXECUTED BY INDIVIDUAL TRUSTEE				
Executed by [name of individual] in their capacity as trustee for [trust name]:				
Signature of Trustee OR				
EXECUTED BY AN INDIVIDUAL UNDER POWER OF ATTORNEY (VICTORIA)				
Executed by [company name] by being signed sealed and delivered in Victoria by its attorney [name of individual] under power of attorney dated [date], who declares they have no notice of its revocation that at the time of executing this document:				
Signature of Attorney				

3.

4.



OR

5. EXECUTED BY INDIVIDUAL AS SOLE TRADER

Signed sealed and delivered by [name of sole	trader]:
Signature of [name of sole trader]	
OR	
6. EXECUTED BY PARTNER ON BEHA	ALF OF PARTNERSHIP
	of [partnership name] by a partner of that partnership ocument] dated [date] who has no notice of that authority
Signature of partner	
Name of partner OR	
7. EXECUTED BY ALL PARTNERS OF	N BEHALF OF PARTNERSHIP
Signed sealed and delivered for and on behalf	of [partnership name] by:
Signature of partner	Signature of partner
Name of partner	Name of partner
Signature of partner	Signature of partner



Agreed terms

1. Interpretation

1.1 **Defined terms**

In this Accreditation Deed:

Accreditation means the accreditation provided for pursuant to this <u>Accreditation Deed</u> permitting a party to perform the <u>Services</u> on <u>Water Infrastructure</u>;

Accreditation Application means the application submitted by the Consultant on the Arcus system;

Accreditation Conditions means the conditions set out at 0 and any further conditions arising by reason of the <u>Performance Regime</u> and paragraph 22.2(f), paragraph 23.7(b), paragraph 24.2(c)(ii) and paragraph 27.5(d);

Accreditation Deed means this document and all Schedules to this document;

Accreditation Period means the period nominated at Item 1 of Schedule 1, commencing on the Commencement Date or such further period as results from the operation of subclause 6.3;

Accreditation Scheme means the scheme established by this <u>Accreditation Deed</u>;

Accreditation Status means the status of <u>Self Certified</u>, <u>Certified</u> or <u>Qualified</u>, <u>Project Specific</u> as described in Item 2 of Schedule 1, or as results from the application of:

- (a) the <u>Performance Regime</u>, or
- (b) subclause 6.7; or
- (c) subclause 6.8; or
- (d) subclause 23.3(e);

Accredited means holding a current <u>Accreditation</u> under the <u>Accreditation Scheme</u> (which <u>Accreditation</u> has not been suspended pursuant to this <u>Accreditation Deed</u>);

Accredited Category means the category nominated at Item 3 of Schedule 1;

Accredited Consultant means a <u>Consultant</u> who is <u>Accredited</u> by <u>SEW</u> to provide Consultant Services;

Accredited Contractor means a contractor who is <u>Accredited</u> by <u>SEW</u> to carry out <u>Works or provide</u> <u>Services</u>;

ACDC has the meaning given in clause 28.5;

Annual Accreditation Application Declaration & Undertaking means a signed declaration in the form provided on the <u>Arcus</u> system;



Application Process means the lodging of the <u>Accreditation Application</u>, all dealings between <u>SEW</u> and the <u>Consultant</u> relevant to the lodging and consideration of the <u>Accreditation Application</u>, consideration of the <u>Accreditation Application</u> by <u>SEW</u> and the decision by <u>SEW</u> in relation to the <u>Accreditation Application</u>;

Approved Products List has the meaning given to that term in the <u>Land Development Policies and Pricing Manual</u>;

Arcus means the cloud based software program system used for the SEW Accreditation Questionnaire;

Assets means SEW's Assets or Third Party Assets;

Auditor means an auditor appointed under clause 25 of this <u>Accreditation Deed</u>;

Audit means a systematic and independent examination to determine whether quality activities and related results comply with planned arrangements (including compliance with relevant <u>Legislative</u> <u>Requirements</u> and contractual obligations) and whether these arrangements are implemented effectively and are suitable to achieve objectives.

Audit Schedule means a schedule compiled by the <u>Consultant</u> that details the frequency and items to be <u>Audited</u> by the <u>Key Personnel</u> undertaking <u>Construction Auditing</u> which is an appropriate risk based audit schedule designed to demonstrate confidence that the quality of the <u>Works</u> complies <u>SEW's Requirements</u>.

Authorities means any person or body having jurisdiction over or in relation to the <u>Works</u> or <u>Services</u> or the activity of the <u>Consultant</u> in the execution of the <u>Services</u> or the performance of the obligations arising under this <u>Accreditation Deed</u>;

Business Day means a day that is not:

- (a) a Saturday or Sunday; or
- (b) a day that is wholly or partly observed as a public holiday throughout Victoria;

Certified means the Accreditation Status described in the Performance Regime;

Change Event means an event which:

- (a) impacts the <u>Consultant</u>'s ability to achieve a design requirement under the <u>Services</u>; or
- (b) impacts the <u>Consultant</u>'s ability to achieve a project requirement under the <u>Services</u>; or
- (c) impacts the cost of the <u>Services</u>; or
- (d) results in a Change in Control of the Consultant.

Change in Control means a change in the Control of the Consultant;

Claim means any claim, demand, remedy, injury, damage, cost, loss, expense, liability, suit, action, proceeding, verdict, judgement, right of action or debt whether arising at law, in equity, under statute or otherwise;

Commencement Date means the date described as such and set out in Item 4 of Schedule 1;

Construction Auditing means <u>Auditing</u> of the <u>Works</u> and includes construction inspection and witnessing.



Consultant means the entity described as such in the <u>Parties Section</u> of this <u>Accreditation Deed</u> and in Item 5 of Schedule 1;

Consultant Confidential Information means:

- (a) all information held by the <u>Consultant</u> or the property of the <u>Consultant</u> which is, under general principles of law, confidential whether in documentary, visual, oral, machine-readable or other form;
- (b) but does not include information in the public domain (except as a result of a failure to comply with an obligation to maintain confidentiality);

Consultant's Personnel means officers, employees, agents, subcontractors, officers, employees and agents of subcontractors and other persons engaged by the <u>Consultant</u> in connection with the undertaking of the <u>Services</u> (as is relevant to the <u>Consultant</u>) and includes <u>Key Personnel</u>;

Consultant's Representative means the person representing the <u>Consultant</u> as described in paragraph 8.1(a) and is, as at the <u>Commencement Date</u>, the person described as such at Item 6 of Schedule 1 or such other person as is nominated pursuant to paragraph 8.1(b);

Continuing Provisions means the following provisions:

- (a) a provision of this <u>Accreditation Deed</u> which is described in the <u>Accreditation Deed</u> as continuing to be in effect following the termination or expiration of the <u>Accreditation Deed</u>;
- (b) any provisions relating to:
 - (i) the giving of a warranty by the Consultant;
 - (ii) the granting of an indemnity by the Consultant;
 - (iii) the granting of a release by the Consultant;
 - (iv) the use of SEW Confidential Information or Consultant Confidential Information;
 - (v) <u>Intellectual Property Rights</u>;
 - (vi) any requirement for the **Consultant** to keep records; or
- any provision which, having regard to the nature of the provision or the subject matter of the provision, it is appropriate for the provision to continue to be in effect following the termination or expiration of the Accreditation Deed;

Contractor see <u>Accredited Contractor</u>

Control means the possession, directly or indirectly, of the power to direct or cause the direction of the management and policies of the <u>Consultant</u>, whether through ownership of voting securities, by contract or otherwise;

Design Documentation means all documentation of a design or planning nature prepared in connection with the Works.

Developer means a person or organisation which enters into an agreement with <u>SEW</u> in relation to the construction of <u>Water Infrastructure</u>;



Developer Agreement means an agreement between <u>SEW</u> and a <u>Developer</u> in relation to the provision of <u>Water Infrastructure</u> by the <u>Developer</u>;

Developer's Representative has the meaning given in the relevant <u>Developer Agreement</u>;

Dispute has the meaning given in clause 28.1;

Environment Laws means the Environment Effects Act 1978 (Vic), the Environment Protection Act 2017 (Vic), the Environment Protection Regulations 2021, the National Environment Protection Council (Victoria) Act 1995 (Vic), the Planning and Environment Act 1987 (Vic), the Planning and Environment Regulations 2015 (Vic), as amended from time to time and any directions, statements, protocols, guidelines or other similar material issued or published under those Acts or the Regulations;

Environmental Management System means a system which is capable of recording the impact of the performance of the <u>Works</u> or <u>Services</u> (as is relevant to the <u>Consultant</u>) on the environment, the compliance with the <u>Environment Laws</u> and which otherwise complies with the requirements of clause 15 of this <u>Accreditation Deed</u>;

Guidelines has the meaning given in clause 28.5;

Insolvency Event means any of the following events:

- (a) for a corporation:
 - (i) an order is made by a court:
 - (A) that the corporation be wound up; or
 - (B) appointing a liquidator or provisional liquidator in respect of the corporation, or one of them is appointed, whether or not under an order;
 - (ii) a resolution is passed to appoint an administrator or an administrator is appointed to the corporation;
 - (iii) a receiver or a receiver and manager is appointed to the corporation;
 - (iv) the corporation enters into, or resolves to enter into, a scheme of arrangement, deed of company arrangement or composition with, or an assignment for the benefit of, all or any class of its creditors, or it proposes a reorganisation, moratorium or other administration involving any of them;
 - (v) the corporation resolves to wind itself up, or otherwise dissolve itself, or gives notice of an intention to do so or is otherwise wound up or dissolved;
 - (vi) the corporation is, or states that it is, insolvent;
 - (vii) as a result of the operation of section 459F(1) of the *Corporations Act* 2001 (Cth) (Corporations Act), the corporation is taken to have failed to comply with a statutory demand;
 - (viii) the corporation is, or makes a statement from which the other party may reasonably conclude that the corporation is, the subject of an event described in section 459C(2)(a) to (f) inclusive or section 585 of the Corporations Act;



- (ix) the corporation takes any step to obtain protection, or is granted protection, from its creditors under any applicable law;
- (x) a mortgagee takes possession of any one of the assets or undertakings of the corporation; or
- (xi) anything analogous or having a substantially similar effect to any of the events specified above happens under any law;
- (b) means for a natural person:
 - (i) if the person commits an act of bankruptcy under the *Bankruptcy Act 1966* (Cth);
 - (ii) dying or losing capacity to manage his own affairs; or
 - (iii) anything analogous or having a substantially similar effect to any of the events specified above happens under any law;

Intensive Auditing means <u>Auditing</u> in the nature of quality control and inspection of the works in order for <u>SEW</u> to be satisfied as to compliance by the <u>Consultant</u> with the requirements of this Deed without reliance upon the <u>Quality Management System</u> or records of the <u>Consultant</u> or the <u>Developer</u>;

Intellectual Property Rights means all copyright and all rights in relation to inventions (including patent rights), registered and unregistered trademarks (including service marks), registered and unregistered designs, and circuit layouts, and any other rights resulting from intellectual activity in the industrial, scientific, literary and artistic fields recognised in domestic law anywhere in the world;

Key Personnel means the employees of the <u>Consultant</u> specified in 0 and which are the subject of clause 13;

Key Roles means the roles nominated in respect of **Key Personnel** as nominated in 0;

Land Development Policies and Pricing Manual means the document entitled 'Land development policies and pricing manual' available on the <u>SEW</u> website and as amended by <u>SEW</u> from time to time;

Legislative Requirements includes:

- (a) Acts, Ordinances, regulations, by-laws, orders, awards and proclamations of the Commonwealth and the State of Victoria;
- (b) without limiting the generality of this definition, the OHS Law and the Environmental Laws;
- (c) certificates, licences, consents, permits, approvals and requirements of organisations having jurisdiction in connection with the carrying out of the Works or Services; and
- (d) fees and charges payable in connection with the foregoing;

Live Assets has the meaning given to that term in the Land Development Policies and Pricing Manual;

Living Safely Rules means the rules described as such as published on <u>SEW</u>'s website from time to time with a copy of the current rules as at the <u>Commencement Date</u> attached in Schedule 7;

Manage Developer Works means the software system of that name provided by <u>SEW</u> which, among other things, manages processes and information (including design documentation, audits and forms)



for <u>SEW</u> land development projects. It incorporates daily accreditation status updates from Arcus, relating to company and key personnel;

Management Systems means an OHS Management System, an Environmental Management System and a Quality Management System required to be prepared by the Consultant pursuant to 0 of this Accreditation Deed and which complies with the requirements of this Accreditation Deed;

Non-Conformance Report means a report issued in accordance with subclause 14.3;

Notice of dispute is a notice issued by <u>SEW</u> in accordance with clause **Error! Reference source not found.**:

Notifiable Incident means an incident which must be notified to the relevant <u>Authority</u> pursuant to any <u>Legislative Requirement</u> and includes any breach of the *Occupational Health and Safety Act 2004 (Victoria)*, an incident to which Part 5 of the *Occupational Health and Safety Act 2004 (Victoria)* applies, or a breach of the *Occupational Health and Safety Regulations 2017 (Victoria)*, the *Dangerous Goods Act 1985 (Victoria)*, the *Dangerous Goods Storage & Handling Regulations 2012 (Victoria)* or the *Environment Protection Act 2017 (Victoria)*;

OHS Law means the legislative instruments administered and/or approved by the Victorian Government in relation to occupational health and safety, including the *Occupational Health and Safety Act 2004 (Victoria)*, the *Occupational Health and Safety Regulations 2017 (Victoria)*, the *Dangerous Goods Act 1985 (Victoria)*, the *Dangerous Goods Storage & Handling Regulations 2012 (Victoria)* and any supporting documentation (including WorkSafe Victoria Compliance codes, WorkSafe Positions and relevant guidance material);

OHS Management System means a system which evidences how the <u>Consultant</u> will undertake the relevant activity in a manner which complies with this Deed and which is capable of recording the compliance with <u>OHS Law</u> and which otherwise complies with the requirements of 0 and clause 15 of this <u>Accreditation Deed</u>;

Performance Regime means the regime set out in Schedule 6 which is the subject of clause 22;

Performance Scorecard means the scorecard set out in the table in Schedule 6;

Probation means a period to be determined by <u>SEW</u> during which the <u>Consultant</u>'s <u>Accreditation</u> may be cancelled without notice by <u>SEW</u>;

Project specific means the Accreditation Status described as such in the Performance Regime;

Qualified means the Accreditation Status described as such in the Performance Regime;

Quality Management System means a system which is capable of recording the quality of the <u>Works</u> or <u>Services</u> (as is relevant to the <u>Consultant</u>) and which otherwise complies with <u>SEW's Requirements</u> and the requirements set out at Schedule 3 of this <u>Accreditation Deed</u>;

Quality Standards means the standards referred to at Schedule 3;

Re-Accreditation means the process of the <u>Consultant's Accreditation</u> automatically continuing through the process set out in subclause 6.8;

Re-Accredited means a <u>Consultant</u> continuing to be <u>Accredited</u> by reason of the process set out in subclause 6.8:



Rectification Plan means a plan by the <u>Consultant</u> as required by <u>SEW</u> under subclause 22.3(b) to demonstrate its future compliance with the <u>Performance Regime</u>;

Reportable Circumstance means the occurrence of an <u>Insolvency Event</u> or a <u>Change in Control</u> or the likelihood (other than a negligible or fanciful likelihood) of these events occurring;

Self Certified means the <u>Accreditation Status</u> described in the <u>Performance Regime</u>;

Serious Incident:

- (a) includes a Notifiable Incident; and
- (b) otherwise means:
 - (i) an event or circumstance which results in damage to an <u>Asset</u> where the damage is equal to or greater than \$10,000;
 - (ii) the exposure of any Consultant's Personnel to a serious or imminent risk to their health or safety;
 - (iii) the receipt by the Consultant of any notice issued under an OHS Law;
 - (iv) a breach of this Accreditation Deed;
 - (v) personal injury of any Consultant's Personnel requiring treatment by a medical practitioner,

involving the Consultant or the Consultant's Personnel when undertaking any work in the state of Victoria irrespective of whether that work relates to the <u>Works or Services</u>;

Services means

- (a) the design, surveying, <u>Construction Auditing</u> and documentation (including asset 'as constructed' survey information) of any <u>Works</u>;
- (b) the provision of advice in relation to the design, surveying, <u>Construction Auditing</u> and documentation of any <u>Works</u>; and
- (c) includes geotechnical investigation, survey investigation, stakeholder engagement as required.

SEW means South East Water;

SEW Confidential Information means:

- (a) all <u>SEW Information</u> and all information held by <u>SEW</u> or the property of <u>SEW</u> which is, under general principles of law, confidential whether in documentary, visual, oral, machine-readable or other form;
- (b) but does not include information in the public domain (except as a result of a failure to comply with an obligation to maintain confidentiality);

SEW Information means any information provided to a <u>Consultant</u> or the <u>Consultant's Personnel</u> by or on behalf of <u>SEW</u> or sourced from material held by <u>SEW</u>;

SEW Systems Verification Audit (2nd **Party Office Audit**) means a systematic and independent examination of the Management Systems undertaken:



- (a) by an <u>Auditor</u> appointed by <u>SEW</u> to determine whether those systems are adequate and being complied with; and
- (b) with a focus on the aspects of the <u>Management Systems</u> which relate to the <u>Services</u> but may result in examination of matters outside of the scope of the <u>Services</u> in order to provide <u>SEW</u> with confidence that the <u>Management Systems</u> are adequate and being complied with;

SEW's Administrative Process means the processes described at or set out at Schedule 3 as amended pursuant to subclause 14.2;

SEW's Assets means any property, plant equipment or other asset owned by or under the control of **SEW**;

SEW's Representative means the person or persons representing <u>SEW</u> or exercising some or all of the functions of <u>SEW</u> under the <u>Accreditation Deed</u> and being the person or persons as described in paragraph 8.2(a) and is, as at the <u>Commencement Date</u>, the person or persons described as such at Item 10 of Schedule 1 or such other person or persons as is nominated pursuant to paragraph 8.2(b);

SEW's Requirements means:

- (a) compliance with SEW's Administrative Process;
- (b) preparing and maintaining the Management Systems;
- (c) preparing and maintaining the Quality Management System; and
- (d) the requirements set out at Schedule 3;

Show Cause Notice is a notice issued by <u>SEW</u> in accordance with clause 23;

Significant Project means a project of greater than 15 metres per water/sewer service either within <u>SEW</u>'s region or as agreed to by <u>SEW</u>, in its absolute discretion;

Third Party Assets means assets of a party other than <u>SEW</u>;

Water Infrastructure means any infrastructure which is, or will become, a <u>SEW's Asset</u> and which relates to the discharge of <u>SEW's</u> obligations in relation to the construction, operation and maintenance of infrastructure relevant to water supply, recycled water and/or sewerage;

WorkCover means the Victorian WorkCover Authority, being the body established by section 18 of the *Accident Compensation Act 1985* (Vic);

WorkSafe means WorkCover;

WorkSafe Investigation means an investigation conducted by WorkSafe in relation to an <u>Incident</u> or a <u>Serious Incident</u>;

Works means any works in relation to or in connection with the construction of Water Infrastructure;

Works Information means all information, documents and records in relation to <u>Works</u> or <u>Services</u> undertaken by or on behalf of the <u>Consultant</u> information, documents and records are necessary to enable <u>SEW</u>:



- (a) to understand the nature of the Works or Services which have been undertaken;
- (b) the way in which those Works or Services may impact on SEW's Assets; and
- (c) to conveniently, efficiently and cost effectively maintain the assets which result from the undertaking of the Works or Services;

1.2 **Rules of Interpretation**

In this Accreditation Deed:

- (a) the singular includes the plural and vice versa;
- (b) a gender includes other genders;
- (c) another grammatical form of a defined word or expression has, when capitalised, a corresponding meaning;
- (d) a reference to a clause, paragraph, schedule or annexure is to a clause or paragraph of, or schedule or annexure to, this agreement, and a reference to this agreement includes any schedule or annexure:
- (e) a reference to a document or instrument includes the document or instrument as novated, altered, supplemented or replaced from time to time;
- (f) a reference to a party is to a party to this agreement, and a reference to a party to a document includes the party's executors, administrators, successors and permitted assigns and substitutes;
- (g) a reference to a person includes a natural person, partnership, body corporate, association, governmental or local authority or agency or other entity;
- (h) a reference to a statute, ordinance, code or other law includes regulations and other enforceable instruments under it and consolidations, amendments, re-enactments or replacements of any of them;
- (i) the meaning of general words is not limited by specific examples that follow them;
- (j) the meaning of general words is not limited merely because more specific words precede them;
- (k) any agreement, representation, warranty or indemnity by two or more parties (including where two or more persons are included in the same defined term) binds them jointly and severally;
- (1) any agreement, representation, warranty or indemnity in favour of two or more parties (including where two or more persons are included in the same defined term) is for the benefit of them jointly and severally;
- (m) a rule of construction does not apply to the disadvantage of a party because the party was responsible for the preparation of this agreement or any part of it or benefits from the relevant provision;
- (n) if a day on or by which an obligation must be performed or an event must occur is not a <u>Business Day</u>, the obligation must be performed or the event must occur on or by the next <u>Business Day</u>; and



- (o) where there is reference to an experienced and competent consultant this is a reference to a consultant who is qualified, skilled and experienced in services similar to the <u>Services</u> and who has brought that skill and experience to bear in relation to the carrying out of the <u>Services</u> or the performance of the relevant obligation;
- (p) If SEW is entitled to act in its absolute discretion then:
 - (i) <u>SEW</u> is entitled to act as it considers appropriate and is not obliged to consider the interests of the Consultant;
 - (ii) <u>SEW</u> may impose any conditions it considers appropriate in relation to the exercise of the discretion (and clause 31.5 shall apply to any such conditions);
 - (iii) any obligation to act in good faith does not apply in respect of the exercise of the discretion;
 - (iv) the exercise of the discretion shall not be reviewable;
 - (v) SEW shall not be constrained from acting by any principle of common law or equity;
 - (vi) there shall be no time constraint on when <u>SEW</u> may exercise that discretion (unless such a constraint arises through the particular provision of this <u>Accreditation Deed</u> giving rise to the discretion); and
 - (vii) the <u>Consultant</u> shall have <u>no claim</u> against <u>SEW</u> in relation to the exercise of the discretion or the timing of the exercise of the discretion.

1.3 No Claim

Where, in this <u>Accreditation Deed</u> there is reference to the <u>Consultant</u> having 'no claim' against <u>SEW</u> then:

- (a) the <u>Consultant</u> must not bring any <u>Claim</u> against <u>SEW</u> in relation to or in connection with the circumstances giving rise to the <u>Claim</u>;
- (b) the <u>Consultant</u> releases <u>SEW</u> from any <u>Claim</u> which the <u>Consultant</u> has, or but for this provision may have had, against <u>SEW</u> in relation to or connection with the circumstances giving rise to the <u>Claim</u> whether that <u>Claim</u> arises through negligence, breach of contract or any other obligation or any other means; and
- (c) the <u>Consultant</u> indemnifies <u>SEW</u> and will keep <u>SEW</u> indemnified in relation to any <u>liability</u> arising in connection with the circumstances giving rise to any such <u>Claim</u>.

2. Principles

SEW and the Consultant:

- (a) recognise that all parties (<u>SEW</u>, the <u>Developer</u>, the <u>Consultant</u> and the <u>Accredited Contractor</u>) play a role in ensuring that <u>SEW's Requirements</u> are met;
- (b) The Consultant and SEW acknowledge that a Principal Contractor may be appointed in relation to the Works and that the appointment of the Principal Contractor will not vary or limit the responsibilities of the Consultant under the Accreditation Deed.



- (c) will ensure that appropriate people from <u>SEW</u> and the <u>Consultant</u> (including <u>Key Personnel</u> as applicable) remain involved with the <u>Services</u>;
- (d) will respond in a reasonable time to any request from the other party or from the <u>Developer</u> or <u>Accredited Contractor</u> relating to the <u>Services</u>;
- (e) will work with integrity and mutual respect of both individuals and corporate objectives;
- (f) will place a high value on the relationship between <u>SEW</u> and the <u>Consultant</u>; and
- (g) will endeavour to provide excellent service to <u>SEW</u>'s customers.

3. SEW Obligations

SEW will:

- (a) provide existing asset survey data to the <u>Consultant</u> within a reasonable period of time;
- (b) audit compliance with <u>SEW's Requirements</u>;
- (c) develop clear open-communication lines;
- (d) clearly articulate performance standards;
- (e) clearly articulate project scope and technical requirements; and

4. Consultant Obligations

4.1 General Obligations

Without limiting any of the <u>Consultant's</u> other obligations under this <u>Accreditation Deed</u>, the <u>Consultant</u> must:

- (a) perform the Services;
- (b) take responsibility for and manage the risks of performing the <u>Services</u>;
- (c) develop clear open communication lines;
- (d) provide a healthy and safe working environment;
- (e) undertake a continuous improvement process for the delivery of the <u>Services</u> in accordance with the <u>Quality Management System</u>;
- (f) comply with <u>SEW's Administrative Process</u>;
- (g) comply with the Land Development Policies and Pricing Manual;
- (h) comply with the <u>Accreditation Conditions</u>;
- (i) comply with any requirements relevant to the Accredited Status held by the Consultant;



- (j) only undertake <u>Services</u> in the <u>Accredited Category</u>;
- (k) only undertake <u>Services</u> as is permitted having regard to the <u>Accredited Status</u>;
- (l) oversee the undertaking of <u>Services</u>;
- (m) conduct <u>Audits</u> of those <u>Services</u> so that the <u>Consultant</u> can be satisfied that the <u>Services</u> are in accordance with their <u>Audit Schedule</u> and the requirements of this <u>Accreditation Deed</u>;
- (n) keep records of any <u>Audits</u> required to be carried out pursuant to paragraph (m) for a period of 7 years;
- (o) administer this deed and the undertaking of the Services;
- (p) notify <u>SEW</u> as soon as the <u>Consultant</u> becomes aware of a <u>Reportable Circumstance</u>;
- (q) to the extent the <u>Services</u> include the preparation or review of <u>Design Documentation</u>, ensure that such <u>Design Documentation</u> complies with all <u>Legislative Requirements</u> and the requirements of Schedule 4;
- (r) perform the <u>Services</u> in accordance with the <u>Design Documentation</u>;
- (s) be guided by <u>SEW</u>'s <u>Living Safely Rules</u>, published version as at the date of this document.

4.2 Consultant's Resources

The Consultant must:

- (a) maintain the resources, or have available to it the resources necessary to comply with its obligations under this <u>Accreditation Deed</u>;
- (b) maintain the systems necessary to comply with its obligations under this <u>Accreditation Deed</u>; and
- (c) maintain the management resources necessary to properly manage and oversee the discharge of its obligations under this Accreditation Deed.

4.3 Regulatory and Prudential Compliance

The <u>Consultant</u> must maintain all registrations, accreditations and approvals necessary for it to be entitled to undertake the relevant <u>Services</u>.

4.4 Undertaking the Services

Where the <u>Consultant</u> provides <u>Services</u> under this <u>Accreditation Deed</u>, the <u>Services</u> must be provided:

- (a) in accordance with this <u>Accreditation Deed</u>;
- (b) with the due skill, diligence, prudence, foresight and care that would reasonably be expected from an experienced and competent consultant;
- (c) in compliance with:
 - (i) all <u>Legislative Requirements</u>;
 - (ii) all Accreditation Conditions;



- (iii) the OHS Law;
- (iv) all Quality Standards;
- (v) all <u>SEW's Requirements</u>; and
- (vi) the requirements of Authorities.

5. Relationship between the parties

- (a) The Consultant is not, and must not purport to act as the agent of SEW.
- (b) This <u>Accreditation Deed</u>, and the arrangements resulting from this <u>Accreditation Deed</u>, do not give rise to a partnership or joint venture or any other relationship between the <u>Consultant</u> and <u>SEW</u> other than the contractual relationship established by this <u>Accreditation Deed</u>.

6. Accreditation

6.1 **Accreditation**

A Consultant may become Accredited under SEW's Accreditation Scheme.

6.2 **Process for Accreditation**

A Consultant may become Accredited by:

- (a) applying to <u>SEW</u> to be <u>Accredited</u>, such application being made through the lodging of an <u>Accreditation Application</u> by the <u>Consultant</u> with <u>SEW</u>;
- (b) <u>SEW</u>, subject to the terms of the <u>Accreditation Application</u>, reviewing and granting <u>Accreditation</u>; and
- (c) the <u>Consultant</u> executing this <u>Accreditation Deed</u>.

6.3 **Duration of Accreditation**

If a <u>Consultant's Accreditation Status</u> is <u>Project Specific</u> or <u>Qualified</u> then the <u>Consultant's Accreditation</u> will expire 12 months after the date it is <u>Accredited</u> (or such earlier date as may result from the operation of this <u>Accreditation Deed</u>), except where <u>SEW</u> (in its absolute discretion) notifies the <u>Contractor</u> in writing prior to the date of such expiration that its <u>Accreditation Status</u> is extended by the period specified in that written notice.

If a <u>Consultant's Accreditation Status</u> is <u>Certified</u> or <u>Self Certified</u> then the <u>Consultant's Accreditation</u> will automatically be <u>Re-Accredited</u> at the conclusion of each 24 month period after the date it is <u>Accredited</u> (or such earlier date as may result from the operation of this <u>Accreditation Deed</u>), subject to the <u>Consultant</u> being <u>Re-Accredited</u> under subclause 6.8. The <u>Accreditation Status</u> of the <u>Consultant</u> following automatic <u>Re-Accreditation</u> pursuant to this paragraph is the same <u>Accreditation Status</u> as the <u>Consultant</u> held prior to such automatic <u>Re-Accreditation</u> or such other <u>Accreditation Status</u> as is determined through the application of the <u>Performance Regime</u>.



A Consultant is Accredited:

- (a) from the later of:
 - (i) the Commencement Date; and
 - (ii) the date of Re-Accreditation.
- (b) until the earlier of:
 - (i) the expiration of the <u>Accreditation Period</u>; or
 - (ii) the cancellation of the Accreditation pursuant to:
 - (A) where the <u>Contractor's Accreditation Status</u> is <u>Project Specific</u>, <u>Qualified</u>, <u>Certified</u> or <u>Self Certified</u>, paragraph 6.5(c), subclause 6.9, subclause 26.1 or subclause 27.6; or
 - (B) where the <u>Contractor's Accreditation Status</u> is <u>Certified</u> or <u>Self Certified</u> (and without limiting paragraph 6.3(b)(ii)(A)), subclause 6.8; or
 - (iii) any of the Accreditation Conditions not being satisfied.

6.4 Accreditation Conditions

- (a) <u>SEW</u> may amend any of the <u>Accreditation Conditions</u> from time to time provided that no such amendment will impose any additional liability or constraint on the <u>Consultant</u> or be unreasonably burdensome on the <u>Consultant</u>.
- (b) <u>SEW</u> will provide the <u>Consultant</u> with written notice of any amendment and a reasonable period to meet any amended <u>Accreditation Conditions</u>.
- (c) The provision in paragraph 6.4(a) does not apply in respect of any amendment which is made:
 - (i) with a view to ensuring that <u>Services</u> are undertaken so as to meet the requirements of this <u>Accreditation Deed</u>;
 - (ii) in order to comply with standards, regulations, legislation, government policy, government direction, government strategy, good governance or protection of assets;
 - (iii) in response to any breach of this Accreditation Deed by the Consultant; or
 - (iv) with a view to ensuring that <u>SEW</u> is in a position to conveniently, effectively, consistently and reliably be satisfied that a <u>Consultant</u> is complying with the requirements of this <u>Accreditation Deed</u>.

6.5 **Accreditation Application**

(a) The <u>Consultant</u> warrants that the <u>Accreditation Application</u> and all information provided by the <u>Consultant</u> during the <u>Application Process</u> is accurate, complete, true and correct and is not misleading.



- (b) The <u>Consultant</u> has <u>no claim</u> against <u>SEW</u> in relation to or in connection with the <u>Application Process</u>.
- (c) If <u>SEW</u> becomes aware that there has been a breach of the warranty provided for in paragraph 6.5(a) then <u>SEW</u> may, in its <u>absolute discretion</u>, immediately cancel the <u>Consultant's Accreditation</u>.
- (d) Following the cancellation of the <u>Consultant's Accreditation</u> pursuant to paragraph 6.5(c), subclause 26.4 shall apply.

6.6 Accreditation Status

The <u>Consultant</u> has the <u>Accreditation Status</u> set out in Item 2 of Schedule 1 or such other <u>Accreditation Status</u> as is determined through the operation of the <u>Performance Regime</u>, subclause 6.7, subclause 6.8 or paragraph 23.3(e).

6.7 Consultant's Request for Change to Accreditation Status

- (a) The Consultant may apply to have its Accreditation Status varied.
- (b) Any application pursuant to paragraph 6.7(a) shall (except to the extent that any requirement may by waived by <u>SEW</u> in its <u>absolute discretion</u>) be in the same form as, include the same information as and be subject to the same requirements and conditions as the <u>Accreditation Application</u>.
- (c) In requesting a variation to its <u>Accreditation Status</u> the <u>Consultant</u> agrees that it is bound by the terms of, and will comply with the provisions applicable to the <u>Accreditation Application</u>.
- (d) <u>SEW</u> may deal with an application pursuant to paragraph 6.7(a) in the same manner as it was entitled to deal with the <u>Accreditation Application</u>.

6.8 Cancellation for failure to comply with Re-Accreditation requirements

- (a) Where the <u>Consultant's Accreditation Status</u> is <u>Certified</u> or <u>Self Certified</u>, <u>SEW</u> may cancel the <u>Consultant's Accreditation Status</u> by issuing written notice if at:
 - (i) 30 days prior to the expiration of the first Accreditation Period; or
 - (ii) any time during any <u>Accreditation Period</u> after the expiration of the first <u>Accreditation Period</u>,

the Consultant:

- (iii) has not satisfied the relevant criteria in the Performance Regime;
- (iv) has not satisfied the relevant Accreditation Conditions;
- (v) is in breach of this Accreditation Deed;
- (vi) has been subject to a <u>SEW Systems Verification Audit (2nd Party Office Audit)</u> and has not passed that <u>SEW Systems Verification Audit (2nd Party Office Audit)</u> or failed to commit to any corrective or preventative actions in a manner that is satisfactory to <u>SEW</u>;



- (vii) has not submitted an <u>Annual Accreditation Application Declaration & Undertaking</u> stating its compliance with the above requirements;
- (viii) has breached the OHS Law;
- (ix) has not undertaken three <u>Significant Projects</u> in the preceding 24 month period; or
- (x) has caused a <u>Serious Incident</u>.
- (b) SEW may apply the criteria in paragraph 6.8(a) in its absolute discretion.
- (c) If the <u>Contractor</u> is <u>Re-Accredited</u> pursuant to this subclause 6.8 then the <u>Accreditation Period</u> is extended by 24 months or a period determined by <u>SEW</u>

6.9 Change in Circumstances

- (a) If the **Consultant** becomes aware of the occurrence of any:
 - (i) circumstance or change in circumstance which materially adversely impacts on the ability of the <u>Consultant</u> to:
 - (A) undertake any Services; or
 - (B) comply with this Accreditation Deed;
 - (ii) circumstance or change in circumstance which materially impacts a characteristic of the Consultant which was relied upon by SEW in providing the Consultant's Accreditation,

then the **Consultant** must advise **SEW** in writing.

- (b) If SEW becomes aware of the occurrence of any:
 - (i) circumstance or change in circumstance which materially impacts on the ability of the Consultant to:
 - (A) undertake any **Services**; or
 - (B) comply with this <u>Accreditation Deed</u>;
 - (ii) circumstance or change in circumstance which materially impacts a characteristic of the Consultant which was relied upon by <u>SEW</u> in providing the <u>Consultant's Accreditation</u>,

then SEW may issue a Show Cause Notice under clause 23.

6.10 **Previous Accreditation Regime**

- (a) <u>SEW</u>'s previous accreditation regime is replaced in its entirety by this <u>Accreditation Deed</u>;
- (b) The <u>Consultant</u> releases <u>SEW</u> from any claim which the <u>Consultant</u> has, or but for this <u>Accreditation Deed</u>, may have had against <u>SEW</u> in relation to the previous accreditation regime or the process leading to the <u>Consultant</u>'s <u>Accreditation under this Accreditation Deed</u>.



7. Consequence of Accreditation

7.1 No assurance of work

- (a) <u>SEW</u> makes no representation to the <u>Consultant</u> that the <u>Consultant</u> will be offered the opportunity to undertake any <u>Services</u> by reason of it being <u>Accredited</u>.
- (b) The <u>Consultant</u> has <u>no claim</u> against <u>SEW</u> by reason of the <u>Consultant</u> not having the opportunity to undertake any <u>Services</u>.

7.2 Others may be accredited

- (a) <u>SEW</u> may accredit other <u>Consultants</u> and may accredit as many <u>Consultants</u> as it chooses.
- (b) The <u>Consultant</u> has <u>no claim</u> against <u>SEW</u> by reason of <u>SEW</u> accrediting other <u>Consultants</u>.

8. Representatives

8.1 **Consultant's Representative**

- (a) The <u>Consultant</u> must nominate a person to represent the <u>Consultant</u> in its dealings with <u>SEW</u>.
- (b) The <u>Consultant</u> may, subject to approval of the nominee by <u>SEW</u>, by notice in writing to <u>SEW</u>, nominate a replacement Consultant's Representative.
- (c) <u>SEW</u> may not unreasonably withhold its approval of a replacement <u>Consultant's</u> Representative.
- (d) Matters within the knowledge of <u>Consultant's Representative</u> shall be deemed to be within the knowledge of the <u>Consultant</u>.
- (e) Any notice or other document given to or addressed to the <u>Consultant's Representative</u> shall be deemed to be given to the <u>Consultant</u>.

8.2 **SEW's Representative**

- (a) SEW must nominate one or more persons:
 - (i) to represent SEW in its dealings with the Consultant; and
 - (ii) to exercise some or all of the functions of SEW under the Accreditation Deed.
- (b) <u>SEW</u> may, by notice in writing to the <u>Consultant</u>, nominate a replacement <u>SEW's</u> Representative.
- (c) <u>SEW</u> may nominate a position in <u>SEW</u> as being <u>SEW's Representative</u>, rather than a named person.
- (d) <u>SEW</u> must advise the <u>Consultant</u> in writing of the nomination of any <u>SEW's Representative</u> and of any functions it is entitled to exercise.
- (e) Not more than one <u>SEW's Representative</u> shall be entitled to exercise the same function at the same time.



- (f) The appointment of any <u>SEW's Representative</u> shall not prevent <u>SEW</u> from exercising any function.
- (g) Any action by <u>SEW's Representative</u>, in relation to a function it is entitled to exercise (as advised in accordance with paragraph 8.2(d)), shall be taken to be an action of <u>SEW</u>.

9. Change in Control

9.1 **Consent required**

If there is to be a <u>Change in Control</u> the <u>Consultant</u> must within 7 days of that Change in Control seek the consent of <u>SEW</u>.

9.2 Actions required

If there is to be a <u>Change in Control</u> the <u>Consultant</u> must within 7 days of that Change in Control demonstrate that the <u>Change in Control</u> will not adversely impact the <u>Consultant's</u> ability to perform the <u>Services</u>.

9.3 Consent not to be unreasonably withheld

Provided that the <u>Consultant</u> has complied with clauses 9.1 and 9.2 then <u>SEW</u> may not unreasonably withhold its consent.

9.4 **Absence of consent**

- (a) If there is a <u>Change in Control</u> and the Consultant did not comply with clauses 10.1 and 10.2 then:
 - (i) the Consultant's Accreditation is suspended with effect from 7 days of the Change in Control; and
 - (ii) SEW is entitled to issue a Show Cause Notice.
- (b) If there is a Change in Control and the Consultant complied with clauses 10.1 and 10.2 but SEW advises in writing that it does not consent to the Change in Control then:
 - (i) the Consultant's Accreditation is suspended with effect from receipt of SEW's notice; and
 - (ii) SEW is entitled to issue a **Show Cause Notice**.

9.5 **Duration of suspension**

- (a) If the <u>Consultant</u>'s <u>Accreditation</u> is suspended by reason of subclause 9.4 the suspension remains in effect until the earlier of:
- (b) the cessation of the event which gave rise to the suspension;
- (c) the expiration of the Consultant's Accreditation by reason of subclause 6.3(b); and
- (d) the cancellation of the Consultant's Accreditation in accordance with this Deed.



9.6 Consequences of suspension

If the <u>Consultant</u>'s <u>Accreditation</u> is suspended in accordance with this clause 9, then, for the duration of the suspension, subclause 26.4 shall apply as if the <u>Accreditation</u> had been cancelled.

10. Loss and Damage

If loss or damage occurs to <u>SEW</u>'s <u>Assets</u>, other than that loss or damage caused by <u>SEW</u>, by reason of or in connection with the performance of the relevant <u>Services</u>, a breach of this <u>Accreditation Deed</u> or any other action of the <u>Consultant</u> or the <u>Consultant</u>'s <u>Personnel</u>, the <u>Consultant</u> must:

- (a) as soon as practicable, inform <u>SEW</u> of the fact of that loss or damage and the circumstances resulting in the loss or damage;
- (b) at its cost, rectify such loss or damage; and
- (c) compensate <u>SEW</u> for that loss or damage and the consequences of the loss or damage.

11. Consultant's Warranties

11.1 The warranties

The **Consultant** warrants, represents and agrees that:

- (a) it has the legal right and power to enter into this <u>Accreditation Deed</u>;
- (b) all of its internal requirements necessary to enter into <u>Accreditation Deed</u> and to perform its obligations under this <u>Accreditation Deed</u> have been satisfied;
- (c) it has disclosed whether any other Australian water authorities have raised any performance issues in relation to its conduct;
- (d) it has disclosed whether it has been denied the opportunity to undertake work for any other Australian water authority for any reason;
- (e) it has disclosed any situation whether it has had its entitlement to undertake work revoked, cancelled or suspended by any other Australian water authority;
- (f) it has, and will maintain, the capacity to comply with its obligations under this <u>Accreditation</u> Deed;
- (g) it will comply with the provisions of this <u>Accreditation Deed</u>.



11.2 Continuing and repeated warranties

The warranties and representations set out in subclause 11.1 of this <u>Accreditation Deed</u> are repeated on each day from the <u>Commencement Date</u> until the termination or expiration of this <u>Accreditation Deed</u>.

12. Indemnity

12.1 **Provision of Indemnity**

The <u>Consultant</u> indemnifies and must keep indemnified <u>SEW</u> in relation to any breach of this <u>Accreditation Deed</u> or any warranty provided for in this <u>Accreditation Deed</u>.

12.2 **Reduction of Liability**

The liability the <u>Consultant</u> pursuant to subclause 12.1 shall be reduced to the extent that any relevant loss, damage, cost, expense or liability was caused by or contributed to by <u>SEW</u> or a <u>SEW</u> <u>Representative</u>.

12.3 **Limitation of Liability**

- (a) Subject to paragraph 12.3(a), the <u>Consultant</u>'s liability to <u>SEW</u> arising directly or indirectly under or in connection with this <u>Accreditation Deed</u> or the performance or non-performance of this <u>Accreditation Deed</u> and whether arising under any indemnity, statute, in tort (for negligence or otherwise), or on any other basis in law or equity is limited to the amount set out in Item 11 of Schedule 1.
- (b) Paragraph 12.3(a) does not limit the <u>Consultant</u>'s liability to <u>SEW</u> in the event of:
 - (i) wilful misconduct; or
 - (ii) liability which cannot be limited at law; or
 - (iii) third party property loss or damage; or
 - (iv) personal injury or death of a person; or
 - (v) infringement of intellectual property rights; or
 - (vi) fraud; or
 - (vii) criminal activities; or
 - (viii) liability arising in connection with a breach of a statutory duty.

12.4 Indemnities

Where any indemnity is given under this <u>Accreditation Deed</u>:

- (a) the indemnity is in respect of any loss damage or expense, including (without limitation);
 - (i) loss of profit (but excluding <u>SEW's</u> loss of profit);
 - (ii) consequential loss;
 - (iii) liability to third parties; and



- (iv) legal costs (on a solicitor own client basis) in respect of enforcing the indemnity or defending any claim brought by a third party in respect of a matter which is the subject of the indemnity;
- (b) the indemnity is given in respect of any such loss damage or expense arising from or connected with the event or circumstance in respect of which the indemnity is given;
- (c) the indemnity is a continuing obligation, separate and independent from the other obligations of the Consultant; and
- (d) the indemnity survives the termination of this <u>Accreditation Deed</u>.

12.5 Payment

- (a) <u>SEW</u> may, in good faith, assess the liability of the <u>Consultant</u> pursuant to subclause 12.1 and, upon that assessment being provided to the <u>Consultant</u>, it shall be a debt due and payable by the <u>Consultant</u> to <u>SEW</u>.
- (b) The debt arising pursuant to paragraph 12.5(a):
 - (i) is payable upon the assessment being provided to the **Consultant**;
 - (ii) is provisional only; and
 - (iii) may be adjusted as a result of the <u>Consultant</u> taking proceedings to establish the final quantum of the liability.
- (c) If the <u>Consultant</u> disagrees with <u>SEW's</u> assessment it may dispute the assessment by following the dispute resolution process contained in clause 28.

13. Personnel

13.1 **Key Personnel**

The Consultant must ensure that the <u>Key Roles</u> are performed by the <u>Key Personnel</u> or any replacement of such person or persons authorised under subclause 13.2.

13.2 Replacement of Key Personnel

- (a) The <u>Consultant</u> may, subject to <u>SEW's</u> rights under subclause 13.2, replace <u>Key Personnel</u> without the prior written approval of <u>SEW</u> if the replacement person is of similar experience and expertise and holds similar skills, qualifications and competencies as the corresponding personnel as described in the <u>Accreditation Application</u>.
- (b) If paragraph 13.2(a) applies:
 - (i) the relevant <u>Key Personnel</u> must be replaced by a person of at least equivalent skills, qualifications, competence, expertise and experience; and



- (ii) the Consultant must advise <u>SEW</u>, in writing, of the name and details of the skills, qualifications, competence, expertise and experience of the replacement person and must do so within 10 <u>Business Days</u> of the replacement; and
- (iii) <u>SEW</u> may refuse to approve the Personnel who have or are being appointed.
- (c) If <u>SEW</u> notifies the <u>Consultant</u> that it refuses to approve the <u>Key Personnel</u> as provided for in paragraph 13.2(b)(iii) then the <u>Consultant</u> must nominate an alternative personnel.

13.3 **Qualification of Consultant's Personnel**

- (a) The Consultant's Personnel must:
 - (i) have the skills, qualifications, competence, expertise and experience required by this Accreditation Deed and appropriate to the undertaking of the Services; and
 - (ii) hold all necessary registrations, authorisations, permits or consents necessary and appropriate to the undertaking of the relevant <u>Services</u>.
- (b) The Consultant must:
 - (i) only deploy or permit the deployment of <u>Consultant's Personnel</u> who satisfy the requirements of paragraph 13.3(a) in undertaking the relevant <u>Services</u>; and
 - (ii) ensure that any of the <u>Consultant's Personnel</u> who undertake the relevant <u>Services</u> satisfy the requirements of paragraph 13.3(a).

13.4 Responsibility for Personnel

- (a) The <u>Consultant</u> is responsible for the <u>Consultant's Personnel</u> and for the actions of the Consultant's Personnel.
- (b) The <u>Consultant</u> must ensure that all the <u>Consultant's Personnel</u> are aware of, and comply with, the relevant obligations arising under this <u>Accreditation Deed</u>, the relevant requirements of this <u>Accreditation Deed</u> and <u>SEW's Requirements</u>.

13.5 Suspension of Consultant's Personnel

The <u>Consultant</u> must, on the written request of <u>SEW</u>, suspend the accreditation status of the <u>Consultant's Personnel</u> engaged in connection with the relevant <u>Services</u> either wholly or in respect of the performance of a particular role in relation to the <u>Works</u> or <u>Services</u> only, and for the period nominated by <u>SEW</u>, (in each case as specified in <u>SEW's</u> written request) if <u>SEW</u>, in its reasonable opinion, considers that:

- (a) the person no longer has, or is no longer capable of deploying the relevant skills, competence, expertise and experience;
- (b) the person's performance or conduct is, or has been, unsatisfactory in the context of the requirements relevant to <u>Accreditation</u>;
- (c) the person has caused or contributed to a <u>Serious Incident</u>; or
- (d) the person has breached, or caused or contributed to the Consultant's breach, of this Accreditation Deed.



13.6 Replacement of Consultant's Personnel

If, following the suspension of any <u>Consultant's Personnel</u> in accordance with subclause 13.5 the <u>Consultant</u> has not demonstrated to <u>SEW</u> (in <u>SEW's</u> absolute discretion) within the period stated in the written request provided under subclause 13.5 that the relevant <u>Consultant's Personnel</u> has remedied the cause of the relevant suspension so that such cause no longer exists, then <u>SEW</u> may by written notice require the <u>Consultant</u> to, and the <u>Consultant</u> must, promptly replace, or procure the replacement of, such <u>Consultant's Personnel</u> to the extent that such <u>Consultant's Personnel</u> engagement in connection with the relevant <u>Services</u> was suspended in accordance with subclause 13.5.

14. SEW's Requirements

14.1 Compliance with SEW's Requirements

The Consultant must comply with SEW's Requirements.

14.2 Amendment of SEW's Requirements

<u>SEW</u> may amend any of <u>SEW's Requirements</u> from time to time as is necessary to comply with standards, regulations, legislation, and <u>SEW</u> business requirements including good governance and protection of assets. The <u>Consultant</u> will be given written notice of the amendment and a reasonable period to meet these conditions.

14.3 **Non-Conformance Reports**

- (a) If <u>SEW</u> becomes aware of any material deficiency, defect or non-compliance in relevant <u>Services</u> then <u>SEW</u> may, without incurring any additional costs to <u>SEW</u>, issue to the <u>Consultant</u>, a report which:
 - (i) will detail the deficiency or defect which needs to be responded to detailing root cause, corrective and preventative action.
- (b) The <u>Consultant</u> must, in relation to all <u>Services</u> undertaken following the issue of a Non-Conformance Report, comply with the <u>Non-Conformance</u> Report.
- (c) The requirements of a <u>Non Conformance Report</u> shall, thereafter, be regarded as obligations under this <u>Accreditation Deed</u> and be subject to the provisions of this <u>Accreditation Deed</u> as if they had been set out as obligations when this <u>Accreditation Deed</u> was first entered into.

15. OHS and Environment

15.1 **OHS Obligations**

(a) The <u>Consultant</u> must, in undertaking the <u>Services</u> comply with the <u>OHS Law</u> and the Environment Laws.



- (b) The <u>Consultant</u> must have an <u>OHS Management System</u> and an <u>Environmental Management System</u>.
- (c) The <u>Consultant</u> is to be guided by <u>SEW</u>'s <u>Living Safely Rules (Schedule 8)</u>.

15.2 Status of the OHS Management System and the Environmental Management System

The <u>OHS Management System</u> and the <u>Environmental Management System</u> are <u>Management Systems</u> and Schedule 30 of this <u>Accreditation Deed</u> applies to the <u>OHS Management System</u> the Environmental Management System.

15.3 Control of work site

The **Consultant** acknowledges and agrees:

- (a) that all of the <u>Consultant's Personnel</u> are the employees of and under the control and direction of the <u>Consultant</u> or subcontractors to the <u>Consultant</u>; and
- (b) <u>SEW</u> is not exercising management and control over the area in which the <u>Works</u> or <u>Services</u> are being undertaken.

16. Serious Incidents

16.1 **Keeping of Records**

- (a) The <u>Consultant</u> must keep all documents and records relating to <u>Incidents</u> and must keep those records and documents for a period of 7 years after the <u>Incident.</u>
- (b) The <u>Consultant</u> must allow <u>SEW</u>, or a nominee of <u>SEW</u>, to conduct an <u>Audit</u> of any of the documents and records to which paragraph 16.1(a) applies if requested to do so by <u>SEW</u>.
- (c) The obligations under this subclause 16.1 are in addition to the obligations under paragraph 4.5 of 0 3 and paragraph 4.6 of Schedule 3.

16.2 Reporting of Serious Incidents

The Consultant must, as soon as practicable after a Serious Incident, advise SEW of:

- (a) the occurrence of the Serious Incident;
- (b) the cause of the Serious Incident; and
- (c) the consequences of the Serious Incident.

16.3 **Investigation of Serious Incidents**

- (a) The <u>Consultant</u>:
 - (i) as soon as practicable after a <u>Serious Incident</u>, prepare a report into the <u>Serious Incident</u> which:
 - (A) identifies the key facts of the <u>Serious Incident</u>; and
 - (B) identifies any immediate steps to be taken by the Consultant in response to the Serious Incident with the view to prevent a reoccurrence;



- (ii) complete the report in accordance with this subclause 16.3 within 10 <u>Business Days</u> of the <u>Serious Incident</u>; and
- (b) If it has been issued with any notice under an OHS Law, the Consultant must provide a copy of that notice to SEW within 2 Business Days of the receipt of the notice.
- (c) The Consultant must:
 - (i) advise <u>SEW</u> of the arrangements for and the timing of the preparation of the report;
 - (ii) allow <u>SEW</u>, if it desires to do so, to contribute to or participate in the preparation of the report;
 - (iii) record the report in writing in a manner which results in an <u>Auditable</u> record of the development of the report and the matters referred to in paragraph 16.3(a)(i); and
 - (iv) provide <u>SEW</u> with a copy of the written record referred to in paragraph 16.3(c)(iii) within 5 Business Days.
- (d) <u>SEW</u> will investigate the <u>Serious Incident</u> (refer to Schedule 6, clause 12):
 - (i) Undertake a thorough and detailed investigation of the key facts of the serious incident by the Land Development Group to determine the root cause of the incident and form a consensus on any action required.
 - (ii) Where appropriate, form an internal review panel consisting of subject matter experts from construction, safety, land development and senior management who will review the detailed investigation of the key facts and form a consensus of any action required.
 - (iii) Remedial actions will be initiated to establish <u>SEW</u> confidence that the circumstances which gave rise to the <u>Serious Incident</u> will not occur in the future.

16.4 Response to Serious Incidents

The <u>Consultant</u> must implement steps, where feasible, to prevent the circumstances which gave rise to the <u>Serious Incident</u> from occurring in the future; and this implementation must be confirmed/ detailed in writing to <u>SEW</u>.

17. Insurances

17.1 **Obligation to insure**

The Consultant must maintain:

- (a) the insurances specified in Schedule 5;
- (b) all insurances required by a Legislative Requirement; and



(c) all other insurances which a reasonable prudent consultant in the position of the <u>Consultant</u> would maintain.

17.2 **Subcontractors**

The <u>Consultant</u> must procure that its subcontractors maintain all insurances required by a <u>Legislative</u> Requirement.

17.3 **Status of insurer**

The insurances required pursuant to subclause 17.1 must be taken out with a reputable insurer which is permitted by the *Insurance Act 1973* (Cth) to undertake insurance in Australia.

17.4 Evidence of insurance

The <u>Consultant</u> must, within 5 <u>Business Days</u> of the <u>Commencement Date</u> and, within 5 <u>Business</u> <u>Days</u> of each request from <u>SEW</u>, provide to <u>SEW</u> evidence of compliance with this clause 17 in the form of a certificate of currency.

17.5 **No undermining**

The <u>Consultant</u> must not, and must ensure that those who it can influence do not do any act or permit or suffer any circumstances by which a policy of insurance required to be taken out under this clause 17 may at any time become void or voidable. If this does occur, it may result in a Performance Improvement or a <u>Show Cause Notice</u> being issued by <u>SEW</u> to the <u>Consultant</u>.

17.6 Cancellation

The <u>Consultant</u> must notify <u>SEW</u> in writing whenever the insurer gives the <u>Consultant</u> a notice of cancellation or any other notice in respect of a policy.

17.7 **Indemnity**

The <u>Consultant</u> indemnifies <u>SEW</u> in relation to any loss, cost, expense or liability incurred or suffered by <u>SEW</u> by reason of or in connection with any failure by the <u>Consultant</u> to comply with the obligations under this clause 17.

17.8 Claims

- (a) The Consultant must:
- (b) notify <u>SEW</u> in writing of any claims against the insurances effected by the <u>Consultant</u> relating to the <u>Services</u> within 5 <u>Business Days</u> after it becomes aware of the claims; and
- (c) provide such further information to <u>SEW</u> in relation to the claim as <u>SEW</u> may require.



18. Works Information

18.1 Provision of the Works Information

The <u>Consultant</u> must provide the <u>Works Information</u> to <u>SEW</u> as soon as practicable after the completion of the <u>Works or Services</u>.

18.2 Form of the Works Information

The Works Information must be in a form approved by SEW.

18.3 Accuracy of the Works Information

The <u>Consultant</u> warrants that the <u>Works Information</u> will be accurate and complete and will not be misleading.

19. SEW Information

19.1 Relevance of SEW Information

The Consultant:

- (a) acknowledges that it may come into possession of SEW Information; and
- (b) must, to the extent practicable, independently verify any <u>SEW Information</u>.

19.2 **No warranty**

(a) <u>SEW</u> does not give any warranty or make any representation as to the accuracy or completeness of any <u>SEW Information</u>.

19.3 No Claim

(a) The <u>Consultant</u> has <u>no claim</u> against <u>SEW</u> in relation to or in connection with any <u>SEW</u> Information.

19.4 Use of SEW Information

- (a) The Consultant must not:
 - (i) use any <u>SEW Information</u> for any purpose other than the undertaking of the <u>Services</u>; and
 - (ii) must not pass any <u>SEW Information</u> to any third party other than for the purpose of undertaking of the <u>Works</u> or <u>Services</u>.
- (b) If the <u>Consultant</u> passes any <u>SEW Information</u> to any third party as permitted by paragraph 19.4(a)(ii) the <u>Consultant</u> must advise the recipient of that information of the matters set out in subclause 19.1 and subclause 19.2.



20. Intellectual Property

20.1 Consultant's obligations

The Consultant:

- (a) warrants that the <u>Services</u> and the <u>Works Information</u> will not infringe the <u>Intellectual Property Rights</u> of any third party;
- (b) grants to <u>SEW</u> an irrevocable, perpetual, royalty free, unconditional licence to use the <u>Works</u> or <u>Services</u> (as is relevant to the <u>Consultant</u>) and the <u>Works Information</u> in whatever reasonable manner <u>SEW</u> finds convenient in respect of conducting its business and discharging its obligations; and
- (c) warrants that it is able to grant the licence provided for in paragraph 20.1(b).

20.2 **SEW's intellectual property**

- (a) The <u>Consultant</u> acknowledges that the provision to it of any of <u>SEW's Information</u> does not give to the <u>Consultant</u> any <u>Intellectual Property Rights</u> in that information (other than in respect of the licence granted in paragraph 20.2(b)).
- (b) <u>SEW</u> grants to the <u>Consultant</u> a royalty free licence to use <u>SEW's Information</u> for the purpose of undertaking the <u>Services</u>.

21. Confidentiality

21.1 Use of SEW Confidential Information

The **Consultant**:

- (a) must only use <u>SEW Confidential Information</u> solely for the purpose of undertaking of the <u>Services</u>;
- (b) must not disclose <u>SEW Confidential Information</u> other than as permitted in this <u>Accreditation</u> <u>Deed</u>;
- (c) must only disclose SEW Confidential Information to persons who:
 - (i) are aware and agree that the <u>SEW Confidential Information</u> must be kept confidential; or
 - (ii) have signed any confidentiality deed required by <u>SEW</u> from time to time, and either:
 - (iii) has a need to know (and only to the extent that each has a need to know); or
 - (iv) has been approved by <u>SEW</u> from time to time;
- (d) must ensure that the <u>Consultant's Personnel</u> keep confidential all <u>SEW Confidential</u> <u>Information</u>, other than in circumstances where disclosure is permitted by this <u>Accreditation</u> <u>Deed</u>; and



(e) must ensure that the <u>SEW Confidential Information</u> only use <u>SEW Confidential Information</u> solely for the purpose of undertaking of the <u>Services</u>.

21.2 Use of Consultant Confidential Information

- (a) <u>SEW</u>:
 - (i) must not disclose <u>Consultant Confidential Information</u> other than as permitted in this <u>Accreditation Deed</u>; and
 - (ii) must ensure that the <u>SEW's Representative</u> keeps confidential all <u>Consultant</u>
 <u>Confidential Information</u>, other than in circumstances where disclosure is permitted by this <u>Accreditation Deed</u>.
- (b) <u>SEW</u> is permitted to share <u>Consultant Confidential Information</u> associated with this <u>Accreditation Deed</u> or the <u>Performance Regime</u> with Yarra Valley Water and Greater Western Water and Victorian Government bodies.

21.3 **Breach of Confidence**

- (a) The <u>Consultant</u> must immediately notify <u>SEW</u> of any suspected or actual unauthorised use, copying or disclosure of <u>Confidential Information</u>.
- (b) The <u>Consultant</u> must provide assistance reasonably requested by <u>SEW</u> in relation to any proceedings <u>SEW</u> may take against any person for unauthorised use, copying or disclosure of <u>Confidential Information</u>.
- (c) The <u>Consultant</u> acknowledges that damages will not be an adequate remedy in relation to any breach of this clause 21 and that <u>SEW</u> will be entitled to take injunctive proceedings in relation to, or to prevent any such breach.

21.4 Limit of obligations

The obligations in this clause 21 do not apply to:

- (a) information already in the public domain (other than as a result of a breach of this clause 21);
- (b) any disclosure required by the listing rules of the Australian Stock Exchange; or
- (c) any disclosure required by law.

21.5 Survival

The obligations in this clause 21 survive the termination or expiration of this Accreditation Deed.



22. Performance Regime

22.1 Application of regime

The <u>Consultant</u> acknowledges that its performance in relation to the <u>Services</u> and compliance with this <u>Accreditation Deed</u> will be monitored and assessed in accordance with the <u>Performance Regime</u>.

22.2 Consequences of regime

The **Consultant** acknowledges that the application of the **Performance Regime** may result in:

- (a) the <u>Consultant's Accreditation Status</u> being altered;
- (b) a **Show Cause Notice** being issued;
- (c) the Consultant being the subject of Intensive Auditing;
- (d) the Consultant's Accreditation being suspended;
- (e) the Consultant's Accreditation being terminated;
- (f) the imposition of additional or amended Accreditation Conditions; or
- (g) any combination of the above matters.

22.3 **SEW** assessment

- (a) <u>SEW</u> may apply the <u>Performance Regime</u> and make an assessment under the <u>Performance</u> Regime at any time that SEW, considers appropriate.
- (b) The poorest performers may be required to provide a <u>Rectification Plan</u> and may be subject to continued performance management by <u>SEW</u>.
- (c) SEW may issue a Show Cause Notice.

23. Show Cause Notice

23.1 Service of notice

- (a) SEW may issue a Show Cause Notice to the Consultant if:
 - (i) the <u>Consultant</u> is in breach of this <u>Accreditation Deed</u>;
 - (ii) any warranty given by the Consultant under this Accreditation Deed is breached;
 - (iii) an <u>Intensive Audit</u> has been implemented for a period in excess of 3 months or is implemented for a second time in any period of 24 months; or
 - (iv) the issue of such a notice is provided for in the Performance Regime;
 - (v) the Consultant does not provide an adequate rectification plan under clause 22.3; or
 - (vi) the issue of such a notice is provided for in this <u>Accreditation Deed</u>.
- (b) The Show Cause Notice must:



- (i) state that it is a notice under this subclause 23.1;
- (ii) set out the circumstances relied upon in relation to the notice; and
- (iii) set out the time within which the <u>Consultant</u> must show cause (which time must not be less than 5 <u>Business Days</u> from the date of the <u>Show Cause Notice</u>).

23.2 Response to notice

- (a) Upon receipt of a <u>Show Cause Notice</u>, the <u>Consultant</u> must provide a response to the notice within the time required.
- (b) The <u>Consultant's</u> response must:
 - (i) set out the reasons for the breach occurring or circumstance arising;
 - (ii) identify what steps the <u>Consultant</u> will take in order to ensure that the breach or circumstance ceases;
 - (iii) identify what steps the <u>Consultant</u> will take in order to prevent the breach or circumstance occurring again in the future;
 - (iv) describe the steps the <u>Consultant</u> proposes to take in order to rectify and resolve the effects of the breach or the circumstance; and
 - (v) set out reasons why <u>SEW</u> should not take further action under this <u>Accreditation Deed</u> in relation to the breach or circumstance.

23.3 Consideration of notice

Upon receipt of a response from the <u>Consultant</u> as contemplated by subclause 23.2 <u>SEW</u> may, in its <u>absolute discretion</u>, advise the <u>Consultant</u> as to whether <u>SEW</u>:

- (a) intends to terminate the <u>Consultant's Accreditation</u>;
- (b) considers that the response does not adequately address the matters required to be addressed under subclause 23.2;
- (c) intends to implement an intensive Audit under clause 25.6;
- (d) intends to permit the **Consultant's Accreditation** to remain;
- (e) intends to permit the <u>Consultant's Accreditation</u> to remain but at a different <u>Accreditation</u> Status;
- (f) intends to permit the **Consultant's Accreditation** to remain subject to certain conditions;
- (g) intends to permit the <u>Consultant's Accreditation</u> to remain subject to the <u>Consultant</u> being placed on <u>Probation</u>; or
- (h) intends to terminate the Accreditation Deed.

23.4 Inadequate response

(a) If <u>SEW</u> advises the <u>Consultant</u> that it considers that the response does not adequately address the matters required to be addressed under subclause 23.2 then <u>SEW</u> may, in its <u>absolute</u> discretion:



- (i) call for the Consultant to again respond as required subclause 23.2; or
- (ii) elect to follow one of the other options set out in subclause 23.3.
- (b) If <u>SEW</u> calls for the <u>Consultant</u> to again respond as required subclause 23.2 the <u>Consultant</u> must do so within the time directed by <u>SEW</u>.
- (c) Subclause 23.3 and subclause 23.4 shall continue to apply until <u>SEW</u> considers that the response does adequately address the matters required to be addressed under subclause 23.2 or until <u>SEW</u> has elected to follow one of the other options set out in subclause 23.3.

23.5 Compliance with Response

If <u>SEW</u> advises the <u>Consultant</u> that it intends to permit the <u>Consultant's Accreditation</u> to remain (with or without conditions) as contemplated by paragraph 23.3(d) or paragraph 23.3(f) then:

- (a) the <u>Consultant</u> must, thereafter, take the steps, and continue to take the steps, set out in the response from the <u>Consultant</u> (being the steps described in paragraphs 23.2(b)(ii), 23.2(b)(iii) and 23.2(b)(iv)); and
- (b) the steps referred shall, thereafter, be regarded as obligations under this <u>Accreditation Deed</u> and be subject to the provisions of this <u>Accreditation Deed</u> as if they had been set out as obligations when this <u>Accreditation Deed</u> was first entered into.

23.6 Change in Accreditation Status

If <u>SEW</u> advises the <u>Consultant</u> that it intends to permit the <u>Consultant's Accreditation</u> to remain but at a different <u>Accreditation Status</u>, as contemplated by paragraph 23.3(e) then as from the date of receipt or deemed receipt of that advice:

- (a) the <u>Consultant</u> is only entitled to undertake the r <u>Services</u> permitted to be undertaken in respect of that <u>Accreditation Status</u>; and
- (b) the Consultant must comply with SEW's Requirements in relation to that Accreditation Status.

23.7 Conditions

If <u>SEW</u> advises the <u>Consultant</u> that it intends to permit the <u>Consultant's Accreditation</u> to remain with conditions, as contemplated by paragraph 23.3(f) then:

- (a) the **Consultant** must, thereafter, comply with those conditions; and
- (b) the conditions shall, thereafter, be regarded as <u>Accreditation Conditions</u> and be subject to the provisions of this <u>Accreditation Deed</u> as if they had been set out as <u>Accreditation Conditions</u> when this <u>Accreditation Deed</u> was first entered into.

23.8 Validity of notice

A <u>Show Cause Notice</u> shall not be regarded as ineffective or invalid by reason of any deficiency in the information required to be given under subclause 23.1 and substantial compliance with subclause 23.1 shall be sufficient.



24. Performance Discussion

24.1 **Deferral of Show Cause Notice**

If <u>SEW</u> is entitled to issue a <u>Show Cause Notice</u> then <u>SEW</u> may, in its <u>absolute discretion</u>, defer the issuing of the <u>Show Cause Notice</u> and invite the <u>Consultant</u>:

- (a) to participate in a discussion with <u>SEW</u> in relation to the <u>Consultant's</u> performance under this Accreditation Deed;
- (b) to provide an undertaking as to the future performance of the Consultant; or
- (c) to do a combination of the above.

24.2 Undertakings and conditions

- (a) <u>SEW</u> may, in its <u>absolute discretion</u>, accept an undertaking as to the future performance from the <u>Consultant</u>.
- (b) The undertaking may set out conditions which the <u>Consultant</u> agrees to abide by in the future.
- (c) If any undertaking sets out conditions as provided for in paragraph 24.2(b) then:
 - (i) the Consultant must, thereafter, comply with those conditions; and
 - (ii) the conditions shall, thereafter, be regarded as <u>Accreditation Conditions</u> and be subject to the provisions of this <u>Accreditation Deed</u> as if they had been set out as <u>Accreditation Conditions</u> when this <u>Accreditation Deed</u> was first entered into.
- (d) Any undertaking given by the Consultant as provided for in paragraph 24.2(b):
 - (i) must be adhered to by the Consultant; and
 - (ii) shall, thereafter, be regarded as obligations under this <u>Accreditation Deed</u> and be subject to the provisions of this <u>Accreditation Deed</u> as if they had been set out as obligations when this <u>Accreditation Deed</u> was first entered into.

24.3 **Duration of deferral**

- (a) <u>SEW</u> may defer the issuing of the <u>Show Cause Notice</u> for the period of time it, in its <u>absolute</u> <u>discretion</u>, considers appropriate.
- (b) If <u>SEW</u> has not accepted an undertaking prior to the expiration of the period of time referred to in paragraph 24.3(a) then <u>SEW</u> will be free to issue the <u>Show Cause Notice</u>.

25. Auditor

25.1 **Right to Appoint**

SEW may appoint an **Auditor** if:

(a) <u>SEW</u> has become entitled to do so by reason of paragraph 23.3(c); or



- (b) the appointment of an <u>Auditor</u> is provided for in the <u>Performance Regime</u>; or
- (c) <u>SEW</u> notifies the <u>Consultant</u> that it intends to carry out a <u>SEW Systems Verification Audit</u>.

25.2 Role of an Auditor

The role of the Auditor is:

- (a) to monitor the performance of the <u>Works</u> or <u>Services</u> and compliance with this <u>Accreditation</u> <u>Deed</u> by the <u>Consultant</u>; and
- (b) to report to SEW on the matters referred to in paragraph 25.2(a),
- (c) with a view to ensuring that the circumstances giving rise to the appointment of the <u>Auditor</u> cease to exist and will not arise again in the future.

25.3 Cooperation with the Auditor

The Consultant must:

- (a) cooperate fully with the Auditor;
- (b) allow the <u>Auditor</u> access to all relevant records and premises reasonably requested by the Auditor; and
- (c) not obstruct the <u>Auditor</u> in the performance of its role.

25.4 Auditor

The <u>Auditor</u> may be an employee of <u>SEW</u> or a nominee of <u>SEW</u> and must be suitably trained and qualified having regard to the scope, depth and type of the Audit.

25.5 Cost of the Auditor

- (a) The cost of the <u>Auditor</u> will be borne by <u>SEW</u> unless <u>Intensive Auditing</u> is implemented;
- (b) If <u>Intensive Auditing</u> is implemented the <u>Consultant</u> must, within 20 <u>Business Days</u> of each request by <u>SEW</u>, reimburse <u>SEW</u> all costs associated with the engagement, management and reporting of the <u>Auditor</u> undertaking the <u>Intensive Audit</u>.

25.6 Intensive Audits

- (a) In an <u>Intensive Audit</u>, <u>SEW</u> will adopt a role of quality control and inspect the <u>Works</u> or <u>Services</u> at predetermined points. <u>Intensive Audits</u> of <u>Works</u> or <u>Services</u> will be implemented where <u>SEW</u> no longer has confidence in the <u>Consultant</u>'s <u>Quality Management System</u> meeting <u>SEW</u>'s <u>Requirements</u>.
- (b) <u>Intensive Audits</u> will normally only cover those aspects of the <u>Accreditation Deed</u> where the quality cannot be assured. For example, <u>SEW</u> may implement an <u>Intensive Audit</u> of only the construction, if the design processes are functioning correctly.
- (c) Where non-conformance is widespread or significant enough to affect the quality of the entire <u>Accreditation Deed</u>, <u>SEW</u> will implement <u>Intensive Auditing</u> of all aspects of the <u>Works</u> or <u>Services</u>. If <u>SEW</u> determines that an <u>Intensive Audit</u> is required of the design, construction or survey of the <u>Works</u> or <u>Services</u>, the appropriate party must pay an <u>Intensive Audit</u> fee.



(d) The <u>Intensive Audit</u> fee is determined as set out in the <u>Land Development Pricing and Policy</u> Manual.

25.7 **Duration of the Intensive Audit**

(a) The <u>Auditor</u> shall continue to perform its role until <u>SEW</u> is satisfied in its <u>absolute discretion</u> that the need for the <u>Auditor</u> is no longer present.

26. Termination of Accreditation

26.1 **Termination of Accreditation**

SEW may cancel the Consultant's Accreditation without giving notice to the Consultant if:

- (a) a <u>Show Cause Notice</u> has been served on the <u>Consultant</u> and the <u>Consultant</u> has not responded as required by subclause 23.2; or
- (b) a <u>Show Cause Notice</u> has been served on the <u>Consultant</u> and <u>SEW</u> has advised that it intends to terminate the <u>Accreditation Deed</u> as contemplated by paragraph 23.3(a); or
- (c) the Consultant fails to take a step or continue to take a step the subject of subclause 23.5; or
- (d) the Consultant fails to comply with a condition the subject of subclause 23.6; or
- (e) an <u>Intensive Audit</u> has been implemented for a period in excess of 3 months or on more than two occasions during a period of 24 months.

26.2 Timing of termination

- (a) If <u>SEW</u> is to terminate the <u>Consultant's Accreditation</u> under subclause 26.1 it may, in the notice to the <u>Consultant</u>, nominate a future date upon which the termination is to take effect. The <u>Accreditation</u> will be terminated upon that date.
- (b) If no such date is nominated then the <u>Accreditation</u> is terminated from the date of receipt, or deemed receipt, of the notice.
- (c) No new jobs can be taken on beyond the future date upon which the termination is to take effect.

26.3 **Termination of Accreditation**

The <u>Consultant's Accreditation</u> ceases as provided for in paragraph 6.3(b), being in the following circumstances:

- (a) the expiration of the <u>Accreditation Period</u>; or
- (b) any of the Accreditation Conditions not being satisfied.



26.4 Consequences of termination

If the <u>Consultant's Accreditation</u> is cancelled under paragraph 6.5(c) or subclause 26.1 or ceases as noted in subclause 26.3 then the <u>Consultant</u>:

- (a) may complete any of the <u>Services</u> it then has underway (unless <u>SEW</u> directs the <u>Consultant</u> to immediately cease undertaking all <u>Services</u>);
- (b) must, if so directed by <u>SEW</u> immediately cease undertaking all <u>Services</u> (except to the extent necessary to make any safe any circumstance which would otherwise be unsafe);
- (c) must not commence the undertaking of any further **Services**; and
- (d) cannot apply for <u>Accreditation</u> until after a 12 month period has elapsed since the cancellation the <u>Consultant's Accreditation</u>, unless otherwise agreed by <u>SEW</u>.

27. Suspension of Accreditation

27.1 **Entitlement to suspend**

If <u>SEW</u> is entitled to terminate the <u>Consultant's Accreditation</u> then <u>SEW</u> may, in its <u>absolute discretion</u>, suspend the <u>Consultant's Accreditation</u> as an alternative to termination of the <u>Consultant's Accreditation</u>.

27.2 Immediate suspension

- (a) If <u>SEW</u> forms the view that it is prudent, in order to prevent any loss or damage or injury the subject of clause 10 or a material or repeated breach of this <u>Accreditation Deed</u>, to immediately suspend the <u>Consultant's Accreditation</u> then <u>SEW</u> may issue a notice to the <u>Consultant</u> suspending the <u>Consultant's Accreditation</u> from a time and date nominated in the notice.
- (b) The <u>Consultant's Accreditation</u> is suspended from the time and date nominated in the notice delivered pursuant to paragraph 27.2(a).
- (c) As soon as practicable following the delivery of a notice pursuant to paragraph 27.2(a), <u>SEW</u> shall deliver a <u>Show Cause Notice</u> or take any other steps it is entitled to take in circumstances where it is entitled to deliver a <u>Show Cause Notice</u>.
- (d) If <u>SEW</u> does not deliver a <u>Show Cause Notice</u> or take any other steps it is entitled to take in circumstances where it is entitled to deliver a <u>Show Cause Notice</u> within 5 <u>Business Days</u> of the delivery of a notice pursuant to paragraph 27.2(a) then the suspension ceases.

27.3 **Duration of suspension**

If SEW suspends the Consultant's Accreditation then SEW may:

- (a) suspend the <u>Accreditation</u> for a defined period of time;
- (b) suspend the Accreditation until certain specified conditions are satisfied; and
- (c) nominate a maximum permitted duration for the suspension.



27.4 Consequences of suspension

If the <u>Consultant's Accreditation</u> is suspended in accordance with clause 27, then, for the duration of the suspension, subclause 26.4 shall apply as if the <u>Accreditation</u> had been cancelled.

27.5 Conditions

- (a) If <u>SEW</u> suspends the <u>Accreditation</u> until certain specified conditions are satisfied and those conditions are satisfied then:
- (b) upon satisfaction of the conditions <u>SEW</u> will give notice to the <u>Consultant</u> that the suspension has ceased and the suspension shall cease from the date of the notice;
- (c) the **Consultant** must, thereafter, comply with those conditions; and
- (d) the conditions shall, thereafter, be regarded as <u>Accreditation Conditions</u> and be subject to the provisions of this <u>Accreditation Deed</u> as if they had been set out as <u>Accreditation Conditions</u> when this <u>Accreditation Deed</u> was first entered into.

27.6 **Maximum duration**

(a) If a maximum permitted duration is specified for a suspension as contemplated by paragraph 27.3(c) then if the suspension has not ceased by the expiration of the duration then <u>Consultant's Accreditation</u> is automatically terminated.

28. Dispute Resolution

28.1 **Dispute process**

- (a) The parties must follow this clause 28 in relation to any dispute or difference that arises between the parties which is in any way connected with this <u>Accreditation Deed</u> or the undertaking of the <u>Services</u> (**dispute**).
- (b) The parties may not commence litigation in relation to any <u>dispute</u> (other than for urgent relief) unless and until it becomes entitled to do so under this clause 28.
- (c) The parties must continue to comply with this <u>Accreditation Deed</u> notwithstanding the existence of a <u>dispute</u>.

28.2 **Prevention by collaboration**

The parties will seek to prevent any dispute from arising by constant collaboration.

28.3 **Notice of Dispute**

Any party wishing to progress the <u>dispute</u> must deliver by hand or certified mail to the other party, a written notice of dispute, which adequately identifies and provides details of the dispute (**notice of dispute**).



28.4 Parties to meet

If a party issues a <u>notice of dispute</u> under 28.3 and the <u>dispute</u> is not able to be resolved by the <u>Consultant's Representative</u> and <u>SEW's Representative</u> within 14 days, the nominated senior executive officer (or equivalent) of each of <u>SEW</u> (on the one hand) and the <u>Consultant</u> (on the other hand) will promptly meet and discuss in good faith with a view to resolving such Dispute.

28.5 Mediation

- (a) If any <u>dispute</u> is unable to be resolved in accordance with clause 28.4 within 14 days, the parties agree to endeavour in good faith to settle the <u>dispute</u> by mediation administered by the Australian Commercial Disputes Centre (the **ACDC**) before having recourse to arbitration or litigation.
- (b) The mediation will be conducted in accordance with the mediation guidelines of <u>ACDC</u> (the **Guidelines**) which set out the procedures to be adopted, the process of selection of the mediator and the costs involved and the terms of those <u>Guidelines</u> are incorporated in this <u>Accreditation Deed</u>.

28.6 Arbitration or litigation

- (a) If the parties fail to settle any <u>dispute</u> in accordance with clause 28.5 the parties may agree to submit the <u>dispute</u> for resolution to final and binding arbitration under the Rules of Arbitration of the Institute of Arbitrators and Mediators Australia by one or more arbitrators appointed in accordance with those rules.
- (b) If the parties do not agree to refer the Dispute to arbitration in accordance with clause 28.6(a), either party may submit the <u>dispute</u> for resolution to the exclusive jurisdiction of the Courts of Victoria, Australia.

28.7 Performance during dispute resolution

The parties to a <u>dispute</u> will continue to perform their respective obligations under this <u>Accreditation</u> <u>Deed</u>, pending the resolution of a <u>dispute</u> under this clause 28.

28.8 Interlocutory relief

Nothing in this clause 28 is to be taken as preventing any party to a <u>dispute</u> from seeking interlocutory relief in respect of such <u>dispute</u>.

28.9 Parties Obligations

The parties must use their reasonable efforts to resolve the <u>dispute</u> through the process described in this clause 28, including arranging and attending meetings to discuss the <u>dispute</u>.

28.10 Survival

This clause 28 survives the termination or expiration of this Accreditation Deed.



29. Audit

29.1 Retention of documents and records

The <u>Consultant</u> must keep all documents and records relating to the performance of the obligations under this <u>Accreditation Deed</u> and must do so for a period of 7 years after the expiration or termination of this <u>Accreditation Deed</u>.

29.2 Entitlement to undertake audit

The <u>Consultant</u> must allow <u>SEW</u>, or a nominee of <u>SEW</u>, to inspect the documents and records to which subclause 29.1 applies and to conduct an <u>Audit</u> of those documents and records if requested to do so by <u>SEW</u>.

30. Notices

30.1 **Application of clause**

In this clause 30 reference to notice means a notice, consent, approval or other communication.

30.2 Requirements for Notices

Any notice given under or in relation to this <u>Accreditation Deed</u> must:

- (a) be in writing;
- (b) be signed by or on behalf of the party giving the notice;
- (c) be delivered to each of SEW's Representative and the Consultant's Representative;
- (d) comply with the requirements of this <u>Accreditation Deed</u>;
- (e) be delivered as provided for in this <u>Accreditation Deed</u>; and
- (f) state on the face of the notice:
 - (i) that it is a notice pursuant to this <u>Accreditation Deed</u>;
 - (ii) which clause or clauses of this <u>Accreditation Deed</u> the notice relates to; and
 - (iii) what issue or issues the notice relates to.

30.3 Non compliance with requirements

If a notice does not comply with this clause 30, it cannot be relied upon and will not be taken to be a valid notice under this Accreditation Deed.

30.4 Mode of Service

A notice may be served by:

- (a) hand;
- (b) post; or



(c) electronic mail.

30.5 Address for Service

- (a) The addresses for service are set out in Item 7 and Item 8 of Schedule 1.
- (b) The address for service may be varied by a party upon the giving of notice in writing of that variation.
- (c) A notice must be given to the address for service or by hand.

30.6 **Time of Service**

A notice will be taken to have been delivered as follows:

- (a) where the notice is permitted to be delivered by hand and is delivered by hand, when it has been delivered to the address of the addressee provided for in this <u>Accreditation Deed</u> in which case it shall be deemed to have been given upon delivery;
- (b) where the notice is permitted to be sent by post and is sent by post, when it has been sent by pre-paid ordinary post to the address provided for in this <u>Accreditation Deed</u>, in which case it shall be deemed to have been given on the third <u>Business Day</u> after posting; or
- (c) in the case of electronic mail, if the receiving party has agreed to receipt in that form under the Agreement or a Purchase Order Contract, and the message is correctly addressed to and successfully transmitted to that party's electronic mail address (e-mail address), when the sender receives confirmation on its server that the message has been transmitted.

30.7 Validity of Notice

A notice is valid even if the intended recipient does not receive it or it is returned unclaimed to the sender provided it is sent in accordance with this <u>Accreditation Deed</u>.

31. Miscellaneous

31.1 Governing Law

This Accreditation Deed is governed by the laws of Victoria.

31.2 Jurisdiction

Each party submits to the exclusive jurisdiction of the courts exercising jurisdiction in Victoria and any courts that may hear appeals from such courts and waives any right it might have to claim that those courts are an inconvenient forum.

31.3 Entire Deed

This Accreditation Deed:

(a) constitutes the entire agreement between the parties as to its subject matter; and



(b) supersedes all prior understandings or agreements between the parties and any prior condition, warranty, indemnity or representation imposed, given or made by a party in connection with that subject matter.

31.4 Alteration

This Accreditation Deed may only be altered or varied in writing signed by the parties.

31.5 Consents and conditions

- (a) Where <u>SEW</u> is called upon, or is able to give any approval or consent or exercise any discretion pursuant to this <u>Accreditation Deed SEW</u> may:
 - (i) give the approval or consent or exercise any discretion;
 - (ii) not give the approval or consent or exercise any discretion; or
 - (iii) give the approval or consent or exercise any discretion subject to conditions.
- (b) Where <u>SEW</u> gives any approval or consent or exercises any discretion subject to conditions:
 - (i) the approval or consent or exercise of the discretion shall not be taken to be given or the discretion exercised until the conditions are fulfilled;
 - (ii) the person enjoying the benefit of the approval or consent or exercise of the discretion must comply with or fulfil the conditions;
 - (iii) if, at any time, the person enjoying the benefit of the approval or consent or exercise of the discretion (the **Approval**) fails to comply with or fulfil the conditions or is otherwise in breach of the conditions, the Approval will be void from the beginning and matters as between <u>SEW</u> and the <u>Consultant</u> will be as if the Approval had not been given (save that <u>SEW</u> will continue to enjoy the benefit of any compliance with the conditions).

31.6 Consultant's breach

- (a) Any breach of this <u>Accreditation Deed</u> by the <u>Consultant</u> shall be taken to be committed afresh each day until the breach ceases.
- (b) <u>SEW's</u> rights in relation to any breach of this <u>Accreditation Deed</u> by the <u>Consultant</u> shall accrue afresh each day until the breach ceases.
- (c) No indulgence or delay by <u>SEW</u> shall prejudice <u>SEW</u> in the exercise of its rights or be taken to be an election by <u>SEW</u>.

31.7 Cumulative rights

Any specific right or remedy provided in this <u>Accreditation Deed</u> will not be exclusive but will be cumulative of all other rights and remedies provided by law, agreement or otherwise.

31.8 Survival

The <u>Continuing Provisions</u> are independent and survive the termination or expiration of this Accreditation Deed.



31.9 Waiver

A party does not waive a right, power or remedy if it fails to exercise or delays in exercising the right, power or remedy. A single or partial exercise of a right, power or remedy does not prevent another or further exercise of that or another right, power or remedy. A waiver of a right, power or remedy must be in writing and signed by the party giving the waiver.

31.10 Severance

A term or part of a term of this <u>Accreditation Deed</u> that is illegal or unenforceable may be severed from this <u>Accreditation Deed</u> and the remaining terms or parts of the term of this <u>Accreditation Deed</u> continue in force.

31.11 Counterparts

This <u>Accreditation Deed</u> may be executed in any number of counterpart or copies, with signatures appearing on different counterparts or copies, and this has the same effect as if the signatures on the counterparts or copies were on a single copy of this <u>Accreditation Deed</u>. Without limiting the foregoing, if any of the signatures on behalf of one party are on different counterpart or copies of this <u>Accreditation Deed</u>, this shall be taken to be, and have the same effect as, signatures on the same counterpart and on a single copy of this <u>Accreditation Deed</u>. A party who has executed a counterpart of this <u>Accreditation Deed</u> may exchange it with another party by faxing, or by emailing a pdf (portable document format) copy of, the executed counterpart to that other party.

31.12 Electronic execution

The parties agree that:

- (a) a party may execute this <u>Accreditation Deed</u> by electronically signing a soft copy of this <u>Accreditation Deed</u>, and the parties intend to bind themselves accordingly (including through their electronic signature appearing in the <u>Accreditation Deed</u>);
- (b) this will be taken to satisfy any statutory or other requirements for this <u>Accreditation Deed</u> to be in writing and signed by that party;
- (c) any soft copy of this <u>Accreditation Deed</u> so signed will constitute an executed original counterpart, and any print-out of the copy with the relevant signatures appearing will also constitute an executed original counterpart; and
- (d) where a party prints out this <u>Accreditation Deed</u> after all parties that are signing electronically have done so, the first print-out by that party after all signatories who are signing through electronic means will also be an executed original counterpart of this <u>Accreditation Deed</u>. Each signatory confirms that their signature appearing in this <u>Accreditation Deed</u>, including any such print-out (irrespective of which party printed it), is their personal signature authenticating it.

31.13 **Relationship**

Except where this <u>Accreditation Deed</u> expressly states otherwise, it does not create a relationship of employment, trust, agency or partnership between the parties.

31.14 **Costs**

Each party must pay its own costs of negotiating, preparing and executing this <u>Accreditation Deed</u>.



31.15 Inconsistency with other documents

If this <u>Accreditation Deed</u> is inconsistent with any other document or agreement between the parties, this <u>Accreditation Deed</u> prevails to the extent of the inconsistency.

31.16 Amendment

This <u>Accreditation Deed</u> can only be amended or replaced by another document signed by the <u>Consultant</u> and <u>SEW</u>.

31.17 Assignment or Novation

The <u>Consultant</u> may not assign, novate, transfer, dispose of, declare a trust over or otherwise create an interest in its right under this <u>Accreditation Deed</u> without the prior written consent of <u>SEW</u>.

31.18 **Privacy**

The <u>Accreditation Status</u> of consultants and contractors will be publicly available on the <u>SEW</u> website. <u>SEW</u> reserves the right to share <u>Audit, Serious Incidents</u> and information provided via the <u>Arcus</u> system with Yarra Valley Water, Greater Western Water, Melbourne Water Corporation and Victorian Government bodies. <u>Consultants</u> will not have access to the detailed <u>Audit</u>, <u>Serious Incidents</u> and information provided via the <u>Arcus</u> system relating to parties other than themselves.



#	Clause	Item		Information
1.	1.1	Accreditation Period	Accreditation Period commences	
			Accreditation Period lapses	
2.	1.1	Accreditation Status	Self-CertifyingCertifiedQualifiedProject Specific	
3.	1.1	Accredited Category		
4.	1.1	Commencement Date	Date	
5.	1.1	Consultant	Title	
			ABN	
			ACN	
			Trading as	
6.	1.1	Consultant's Representative	Name	
7.	30.5	Consultant's Address	Address	
			Telephone	
			Email	
8.	30.5	SEW's Address	Address	WatersEdge 101 Wells Street, Frankston Vic. 3199
			Telephone	9552 3306 / 0408 397 161
9.			Email	Bruce.Johnson@sew.com.au
10.	1.1	SEW's Representative	Name	Bruce Johnson
11.	12.3(a)	Liability	Amount	\$20 million



Schedule 2 – Accreditation Conditions

The <u>Consultant</u> was <u>Accredited</u> subsequent to submitting the <u>Accreditation Application</u>, which is has declared to be true to the best of its knowledge.

In order for the **Consultant** to remain **Accredited** it must:

- (a) comply with the <u>Performance Regime</u>;
- (b) comply with **SEW**'s Requirements;
- (c) comply with this Accreditation Deed;
- (d) comply with the requirements of the Accreditation Application; and
- (e) inform <u>SEW</u> if any information provided in the <u>Accreditation Application</u> changes.

If necessary <u>SEW</u> may impose further conditions on the <u>Consultant</u> for it to maintain its <u>Accreditation</u>, including (for example only) further <u>Auditing</u> requirements.



1. Change Events

If a Change Event occurs the Consultant must notify SEW within 5 business days.

2. Compliance with Codes, Manuals and Specifications

The Consultant must comply with the following (listed in order of precedence:

Relevant **SEW** technical codes;

- 1) Melbourne Retail Water Agency Standards (MRWA) codes, supplements and specifications;
- 2) Water Services Association of Australia (WSAA) codes and specifications;
- 3) Water Industry Technical Standards (WITS);

And other documents:

- (a) AS/NZS ISO 9001 Quality Management Systems;
- (b) Australian Standards; and
- (c) the Model Workplace Relations Management Plan under the *Implementation Guidelines to the Victorian Code of Practice for the Building and Construction Industry* provided by the Department of Treasury and Finance to the extent that the code is applicable to the Services;
- (d) <u>SEW's Land Development Policies and Pricing Manual;</u>
- (e) MRWA (products) WebPortal

3. SEW's Administrative Process

3.1 Documents called for by SEW's Administrative Process

- (a) The <u>Consultant</u> must complete all the documents which <u>SEW's Administrative Process</u> calls for the <u>Consultant</u> to complete and must do so within the time provided for in <u>SEW's Administrative Process</u>.
- (b) The Consultant warrants that:
 - (i) it will take care in completing the documents referred to in paragraph 3.1(a);
 - (ii) the documents which it completes which are referred to in paragraph 3.1(a) will be accurate and complete and will not be misleading; and
 - (iii) it will not complete such documents unless it has sufficient knowledge of the circumstances the subject of the documents to enable it to complete those documents in accordance with this paragraph 3.1.



3.2 Content of SEW's Administrative Process

- (a) <u>SEW's Administrative Process</u> may contain or describe:
 - (i) obligations to be discharged by the **Consultant**;
 - (ii) actions to be undertaken by the **Consultant**;
 - (iii) preconditions to certain events occurring or steps being taken; and
 - (iv) consequences of certain events occurring or steps being taken (including consequences in the nature of releases, warranties or indemnities).
- (b) The obligations, actions, preconditions and consequences referred to in paragraph 3.2 and the other content of <u>SEW's Administrative Process</u> will be given effect to between the parties as if they were included in and restated in this <u>Accreditation Deed</u>.

4. Management Systems

4.1 Preparation of Management Systems

- (a) The <u>Consultant</u> must prepare the <u>Management Systems</u> to support the performance of the <u>Consultant's</u> obligations under this <u>Accreditation Deed</u>.
- (b) The <u>Consultant</u> must retain a copy of any such <u>Management System</u> and have it available for inspection by <u>SEW</u> upon request.
- (c) If the <u>Consultant</u> amends any of the <u>Management Systems</u> and that change has a material impact on the manner in which the <u>Consultant</u> performs the <u>Services</u>, the <u>Consultant</u> must forthwith advise SEW of the changes and upon SEW's request provide a copy of the amended system to <u>SEW</u> and to all relevant parties on the site where the <u>Services</u> are being undertaken.

4.2 Compliance with Management Systems

The Consultant must maintain and consistently implement the Management Systems.

4.3 Requirements for Management Systems

The Management Systems must:

- (a) comply with any relevant Legislative Requirements;
- (b) comply with the OHS Law;
- (c) be certified by an accredited JAS-ANZ certifying body to the following standard:
 - (i) AS/NZS ISO 9001 (Quality Management System);
- (d) not prescribe a lesser standard or requirement than the corresponding standard or requirement in any of the relevant <u>SEW's Requirements</u>;
- (e) support compliance with and the implementation of the relevant <u>SEW's Requirements</u>;
- (f) to the extent relevant in relation to the particular plan, describe how the <u>Consultant</u> will manage the undertaking of the <u>Consultant's</u> obligations under this <u>Accreditation Deed</u> in accordance with this <u>Accreditation Deed</u>;



- (g) to the extent relevant in relation to the particular plan, describe how the <u>Consultant</u> will undertake the <u>Services</u> (as is relevant to the <u>Consultant</u>) in accordance with this <u>Accreditation</u> Deed;
- (h) describe how the <u>Consultant</u> will satisfy all relevant <u>Legislative Requirements</u>;
- (i) describe how the <u>Consultant</u> will provide evidence that it has complied with its obligations under this <u>Accreditation Deed</u>;
- (j) contain a mechanism which will result in management of the <u>Consultant</u> being informed, in a timely manner, of any non-compliance with a requirement of this <u>Accreditation Deed</u>;
- (k) contain a mechanism which will result in the recording of any non-compliance with a requirement of this <u>Accreditation Deed</u>, the circumstances giving rise to that non-compliance, the steps taken to address that non-compliance and the steps taken to prevent the noncompliance recurring in the future; and
- (l) be capable of being <u>Audited</u> to demonstrate the compliance of the <u>Management Systems</u> with the requirements of this <u>Accreditation Deed</u> and the performance of the <u>Works</u> or <u>Services</u> (as is relevant to the <u>Consultant</u>) in accordance with this <u>Accreditation Deed</u>.

4.4 Inadequate Management Systems

If <u>SEW</u> forms the view that any of the <u>Management Systems</u> do not comply with this clause 4, and the <u>Consultant</u> is advised of this view and the reasons for this view, then the <u>Consultant</u> may amend the relevant plans so that the <u>Management Systems</u> do comply with this clause 4. If the <u>Consultant</u> does not amend the relevant <u>Management System</u> so that it does comply with this clause 4 then there may be a consequence under the <u>Performance Regime</u>.

4.5 Documents

- (a) The <u>Consultant</u> must retain all data, document and records relevant to the <u>Management</u>

 <u>Systems</u> for a period of 7 years after the completion of the relevant or <u>Services</u> (as is relevant to the <u>Consultant</u>).
- (b) The <u>Consultant</u> must provide <u>SEW</u> with copies of any data, documents and records relevant to the <u>Management Systems</u> if requested to do so by <u>SEW</u>.

4.6 Audit of Management Systems

The <u>Consultant</u> must allow <u>SEW</u>, or a nominee of <u>SEW</u>, to conduct an <u>Audit</u> of any of the <u>Management Systems</u> if requested to do so by <u>SEW</u>.

4.7 Additional Obligations

The obligations under paragraph 4.5 and paragraph 4.6 are in addition to the obligations under subclause 16.1 of the <u>Accreditation Deed</u>.



5. Quality

5.1 Status of the Quality Management System

The <u>Quality Management System</u> is a <u>Management System</u> and clause 4 of this Schedule 3 applies to the <u>Quality Management System</u>.

5.2 Requirements for Quality Management System

The <u>Quality Management System</u> must (in addition to complying with the requirements of clause 4 of this Schedule 3 of this Accreditation Deed):

- (a) be certified by an accredited JAS-ANZ certifying body to ISO 9001;
- (b) not prescribe a lesser standard or requirement than the corresponding standard or requirement otherwise required to be achieved by this <u>Accreditation Deed</u>; and
- (c) be capable of recording the quality of the performance of the <u>Works</u> or <u>Services</u> (as is relevant to the <u>Consultant</u>).

5.3 Deficiencies

- (a) If the <u>Consultant</u> becomes aware of any deficiency or non-compliance in relevant <u>Works</u> or <u>Services</u> then the <u>Consultant</u> must advise <u>SEW</u> of that deficiency or non-compliance as soon as practicable.
- (b) If <u>SEW</u> becomes aware of any deficiency or non-compliance in relevant <u>Services</u> then <u>SEW</u> may:
 - (i) make good the deficiency or non-compliance in, which case the cost of such making good shall be a debt due and payable by the <u>Consultant</u> to <u>SEW</u>; and
 - (ii) direct the <u>Consultant</u> to make good the deficiency or non-compliance within the time and in the manner noted in the direction, in which case the <u>Consultant</u> must comply with that direction.



Schedule 4 – Key Personnel

1. Key Roles

The **Key Roles** are:

- (a) design services (checker); and
- (b) audit services (construction auditor)

2. Design Services (checker)

- (a) The design functions are Key Roles.
- (b) The design functions include, but are not limited to, the following services:
 - (i) hydraulic;
 - (ii) drafting;
 - (iii) survey (when specified);
 - (iv) geotechnical;
 - (v) structural,;
 - (vi) mechanical;
 - (vii) electrical; and
 - (viii) other specialist functions required to undertake the Services.
- (c) Accredited Consultants while undertaking these design services will be required to:
 - (i) Prepare detail design, compatible with the any contract relevant to the <u>Works</u> or <u>Services</u>, <u>SEW's</u> concept plan and design parameters, co-ordinate any other design specialists, organise production of design documentation and advise the <u>Developer</u> on technical matters relating to the <u>Works</u> and visit the site when necessary.
 - (ii) justify variations (if any) from <u>SEW's Requirements</u> and obtain prior written approval from <u>SEW</u> for any variation from <u>SEW's Requirements</u>.
- (d) In addition to the design functions described above the <u>Accredited Consultant</u> shall also certify the as-constructed drawing and documentation satisfy <u>SEW's Requirements</u>.

3. Audit Services (construction auditor)

- (a) Audit services are Key Roles.
- (b) Audit functions include, but are not limited to, the following services:



- (i) <u>Construction Auditing</u>, to ensure compliance with <u>SEW's Requirements</u>;
- (ii) accurate record keeping by the construction auditor to verify compliance with <u>SEW's Requirements</u>.
- (c) The construction auditor must:
 - (i) Undertake a sufficient level of <u>Auditing</u> to obtain confidence that the <u>Works</u> or <u>Services</u> are carried out:
 - (A) in accordance with the <u>Legislative Requirements</u> and <u>SEW's</u>
 Requirements; and
 - (B) in a quality, OHS and environmentally compliant manner and to the customers' satisfaction; and
 - (C) with adequate liaison with contractors and authorities so that all have a clear understanding of the requirements and impact of the <u>Works</u> or <u>Services</u>.
 - (ii) Witness all Inspection and Testing activities relevant to the <u>Works</u> or <u>Services</u> in accordance with <u>SEW's Requirements</u>.
 - (iii) Witness all Acceptance Testing activities performed on the sewerage and water supply assets installed on the worksite.
 - (iv) Respond to customer enquiries or complaints relating to the Works or Services.



The **Consultant** must comply with the following:

$\label{lem:consultant-engineering} Accredited \ Consultant-Engineering \ Services-Key \ Personnel \ Design \ (Checker) \ Qualifications \ \& \ Competencies \ for \ Categories \ of \ Works \ (SEWER)$

Categories of Works (SEWEK)					
	Key Personnel – Design (Checker) Qualifications	Key Personnel – Design (Checker) Competencies	Company Requirements		
Sewer Retic ≤ DN 280 (SD1)	 OHS Industry Induction (Red/White Card) Relevant Secondary/Tertiary Qualification (Eligible for full membership of the Institution of Engineers Australia) WSAA Design Assurance Scheme (DAS) Registered Engineer's Certificate (desirable) 	 Substantial participation in three (3) previous SD1 relevant civil hydraulic works and a minimum of 5 years experience. Demonstrated ability to design from a Sewer Concept Plan or brief from the South East Water. Understand and be able to apply the MRWA Edition of Sewerage Code of Australia WSA 02. 	 Management System 3rd Party Certified to AS/NZS ISO 9001 Quality. OH&S system Professional Indemnity insurance for a minimum of AU\$10M, Public Liability Insurance AU\$20M, Current WorkCover insurance. Holder of relevant MRWA Edition of Sewerage Code of Australia WSA 02. Holder of relevant South East Water Specifications. 		
Sewer Branch > DN 280 (SD2)		 As for SD1 and Substantial participation in three (3) previous relevant works. 	As for SD1.		
ar a		As above and	As for SD1 and		
Pressure Sewer system (SD3)		 Substantial participation in three (3) previous relevant works; and Understand and be able to apply the WSAA Pressure Sewer Code of Australia WSA 07. 	Holder of the WSAA Pressure Sewer Code of Australia WSA 07.		
Sewerage Pimping Station (prefabricated) & rising Main (SD4)		 Substantial participation in three (3) previous relevant works. Demonstrated ability to design from a South East Water Concept Plan or brief from the water corporation. Understand and be able to apply the Sewerage Pumping Station Code of Australia WSA 04. 	As for SD1 and • Holder of the WSAA Sewerage Pumping Station Code WSA 04 and South East Water Supplement.		



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r pump st-in-sit r rising (SD5)		
Sewel (ca.		

Notes:

1. The specific Categories of Work for engineering design services (sewer) are as follows:

SD1	Reticulation: Design of gravity sewerage mains ≤ DN 280 and ≤ 5m deep including all pipe, fittings and structures.	
SD2	Branch/main sewers: Design of gravity sewerage mains > DN 280 or > 5m deep including all pipe, fittings and structures.	
SD3	Pressure sewer system: design of pressure sewerage reticulation networks ≤ DN300 including all of the pressure network components and property branches up to and including the boundary kits.	
SD4	Sewer pumping station (prefabricated) & sewer rising main: Design of prefabricated sewer pumping stations including all civil, mechanical and electrical components and storage structures.	
SD5	Sewer pumping station (cast-in-situ) & sewer rising main: Design of concrete cast-in-situ sewer pumping stations including all civil, mechanical and electrical components and storage structures	



The **Consultant** must comply with the following:

Accredited Consultant – Engineering Services – Key Personnel Design (Checker) Qualifications & Competencies for Categories of Works (WATER)			
	Key Personnel – Design (Checker) Qualifications	Key Personnel – Design (Checker) Competencies	Company Requirements
Water Reticulation ≤ DN 280 (WD1)	 OHS Industry Induction (Red/White Card) Relevant Secondary/ Tertiary Qualification WSAA Design Assurance Scheme (DAS) Registered Engineer's Certificate (desirable) 	 Substantial participation in three (3) previous WD1 relevant civil hydraulic works and a minimum of 5 years experience. Demonstrated ability to design from a South East Water Concept Plan or brief from South East Water. Understand and able to apply the MRWA Edition of Water Supply Code of Australia WSA 03 	 Management System 3rd Party Certified to AS/NZS ISO 9001 Quality. OH&S system Professional Indemnity insurance for a minimum of AU\$10M. Public Liability Insurance AU\$20M Current WorkCover insurance. Holder of relevant MRWA Edition of Water Supply Code of Australia WSA 03. Holder of relevant South East Water Specifications.
Water Distribution > DN280 (WD2)		 As for WD1 and Substantial participation in three (3) previous relevant works. 	
Water Supply Pumping Station (WD3)		 As for WD1 and Substantial participation in three (3) previous relevant works. 	
Storage structure (WD8)		As for WD1 and Substantial participation in three (3) previous relevant works.	



Notes:

1. The specific Categories of Work for engineering design services (water) are as follows:

WD1	Reticulation water mains: Design of reticulation drinking and non-drinking water pipelines ≤ DN 280 including all pipes and fittings.
WD2	Distribution water mains: Design of distribution drinking and non-drinking water pipelines > DN 280 including all pipe, fittings and structures.
WD3	Water supply pumping station & pressure control system: Design of drinking and non-drinking water supply pumping stations and/or pressure control systems including all civil, mechanical and electrical components and structures. Note: Key Personnel require WD2 as a pre-requisite to obtaining WD3.
WD8	Storage Structure: Design of structure designed to store drinking water and non-drinking water in excess of 20kL. Includes all civil, mechanical and electrical components and structures.



The **Consultant** must comply with the following:

Accredited Consultants – Construction Auditing Services – Key Personnel Construction Auditor Oualifications & Competencies for Categories of Works (SEWER)

	Key Personnel – Construction Auditors Qualifications	Key Personnel – Construction Auditors Competencies	Company Requirements
Sewer Retic ≤ DN 280 (SA1)	 OHS Industry Induction (Red / White Card). Confined Space Awareness training Surveillance Officer or Internal Auditor training. Pipe laying accreditation* (RIICRC208A or equivalent) *Implementation date to be agreed to by Peak bodies Trench Safety Awareness* (CCF or equivalent). *Implementation date to be agreed to by Peak bodies Trench Safety Awareness* (CCF or equivalent). *Implementation date to be agreed to by Peak bodies Desirable Mobile Plant Operator Training (RIIMPO320B or NOHSC 7019 LE). Worksite Traffic Management OH&S Safety Rep. Course Laser Safety Spotters Electrical Safety 	Substantial participation in three (3) previous relevant civil hydraulic works and a minimum of 5 years experience.	 Management System 3rd Party Certified to ISO 9001 Quality. OH&S system Professional Indemnity insurance for a minimum of AU\$10M. Public Liability Insurance AU\$20M Current WorkCover insurance. Holder of relevant MRWA Edition of Sewerage Code of Australia WSA 02. Holder of relevant South East Water Specifications.



Accredited Consultants – Construction Auditing Services – Key Personnel Construction Auditor Qualifications & Competencies for Categories of Works (SEWER)

Competencies for Categories of Works (SEWEK)			
	Key Personnel – Construction Auditors Qualifications	Key Personnel – Construction Auditors Competencies	Company Requirements
Sewer Branch ≥ DN 280 (SA2)	 Essential OHS Industry Induction (Red / White Card). Confined Space Awareness training Surveillance Officer or Internal Auditor training. Pipe laying accreditation* (RIICRC208A or equivalent) *Implementation date to be agreed to by Peak bodies Trench Safety Awareness* (CCF or equivalent). *Implementation date to be 	As for SA1 and • Substantial participation in three (3) previous relevant civil hydraulic works.	 Management System 3rd Party Certified to ISO 9001 Quality. OH&S system Professional Indemnity insurance for a minimum of AU\$10M. Public Liability Insurance AU\$20M Current WorkCover insurance. Holder of relevant MRWA Edition of Sewerage Code of Australia WSA 02. Holder of relevant South
Pressure Sewers (SA3)	agreed to by Peak bodies Desirable Mobile Plant Operator Training (RIIMPO320B or NOHSC 7019 LE). Worksite Traffic Management	As for SA1 and • Substantial participation in three (3) previous relevant works.	East Water Specifications. As for SA1. • Holder of Pressure Code of Australia WSA 07.
Sewerage Pimp Station (Prefabricated) & rising Main (SA4)	OH&S Safety Rep. CourseLaser SafetySpotters Electrical Safety	As for SA1 and • Substantial participation in three (3) previous relevant works.	As for SA1. • Holder of Pumping Station Code of Australia WSA 04.
Sewer pump station (Cast-in-Situ) & sewer rising main. (SA5)		As for SA1 and • Substantial participation in three (3) previous relevant works.	As for SA1. • Holder of Pumping Station Code of Australia WSA 04.



Notes:

1. The Specific Categories of Work for Engineering Audit Services (sewer) are as follows:

SA1	Reticulation: Audit of construction of gravity sewerage mains \leq DN 280 and \leq 5m deep including the supply, installation, testing and commissioning of all pipe, fittings and structures.
SA2	Branch/Main Sewers: Audit of construction of gravity sewerage mains > DN 280 or > 5m deep including the supply, installation, testing and commissioning of all pipe, fittings and structures.
SA3	Pressure Sewer System: Audit of construction of pressure sewerage reticulation networks < DN 300 including all of the pressure network components and property branches up to and including the boundary kits.
SA4	Sewer pump station (prefabricated) & sewer rising main: Audit of construction of prefabricated sewer pumping stations including the supply, installation, testing and commissioning of all civil, mechanical and electrical components and storage structures.
SA5	Sewer pump station (cast-in-situ) & sewer rising main: Audit of construction of concrete cast in situ sewer pumping stations including the supply, installation, testing and commissioning of all civil, mechanical and electrical components and storage structures.



The **Consultant** must comply with the following:

Consultants – Construction Auditing Services – Key Personnel Construction Auditor Qualifications & Competencies for Categories of Works (WATER)

Quantications & Competencies for Categories of Works (WATER)				
	Key Personnel – Construction Auditors Qualifications	Key Personnel – Construction Auditors Competencies	Company Requirements	
Water Reticulation ≤ DN 280 (WA1)	 Essential OHS Industry Induction (Red / White Card). Confined Space Awareness training Surveillance Officer or Internal Auditor training. Pipe laying accreditation* (RIICRC208A or equivalent) *Implementation date to be agreed to by Peak bodies Trench Safety Awareness* (CCF or equivalent). *Implementation date to be agreed to by Peak bodies 	Substantial participation in three (3) previous relevant civil hydraulic works and a minimum of 5 years' experience.	 Management System 3rd Party Certified to AS/NZS ISO 9001 Quality. OH&S system Professional Indemnity insurance for a minimum of AU\$10M. Public Liability Insurance AU\$20M Current WorkCover insurance. Holder of relevant MRWA Edition of Water Supply Code of Australia WSA 03. Holder of relevant South East Water Specifications. 	
Water Distribution > DN 280 (WA2)	 Mobile Plant Operator Training (RIIMPO320B or NOHSC 7019 LE). Worksite Traffic Management OH&S Safety Rep. Course 	 Substantial participation in three (3) previous relevant works; and Substantial participation in three (3) previous WA1 works. Substantial participation in 	As for WA1.	
Water Supply Pumping Station (WA3)	 Laser Safety Spotters Electrical Safety	three (3) previous relevant works.	AS 10F WA1.	
Storage Structures (WA8)	Substantial participation in three (3) previous relevant works.	As for WA1		

Notes:



1. The Specific Categories of Work for Engineering Audit Services (water) are as follows:

WA1	Reticulation water mains: Audit of construction of reticulation drinking and non-drinking water pipelines <dn280 all="" and="" commissioning="" fittings.<="" including="" installation,="" of="" pipe="" supply,="" testing="" th="" the=""></dn280>
WA2	Distribution water mains: Audit of construction of distribution drinking and non-drinking water pipelines >DN 280 including the supply, installation, testing and commissioning of all pipe and fittings.
WA3	Water supply pumping station & pressure control system: Audit of construction of drinking and non-drinking water supply pumping stations and/or pressure control systems including the supply, installation, testing and commissioning of all civil, mechanical and electrical components and structures.
WA8	Storage structure: Audit of construction of structure designed to store drinking water and non-drinking water in excess of 20 kL. Includes the supply, installation, testing and commissioning of all civil, mechanical and electrical components and structures



The **Consultant** must comply with the following:

Accredited Consultants – Design & Audit Services – Key Personnel Qualifications & Competencies for Categories of Services (RISK)

Categories of Services (RISK)				
	Key Personnel - Consultant Qualifications	Key Personnel – Consultant Competencies	Company Requirements	
Risk - ground conditions (R1)	Essential • As per SD1, SA1 and/or WD1, WA1 Desirable • As per SD1, SA1 and/or WD1, WA	 Substantial participation in three (3) previous relevant works and Minimum 5 years industry experience and Substantial participation in three (3) previous R1 works 	As per SD1, SA1 and/or WD1, WA1	
Risk - high density (R2)		 Substantial participation in three (3) previous relevant works and Minimum 5 years industry experience and Substantial participation in three (3) previous R2 works. 	As for R1.	
Risk - major crossing (R3)		 Substantial participation in three (3) previous relevant works and Minimum 5 years industry experience and Substantial participation in three (3) previous R3 works. 	As for R1.	



The Specific Categories of Work for Engineering Risk categories are as follows:

	Ground conditions: This overlay is required in addition to another category when the works		
	are to be undertaken in ground which may:		
	Be contaminated to the extent that it may be a hazard to workers or customers connected to		
	the service, Have an AHBP (Average Horizontal Bearing Pressure) < 50 kPa (eg: Coode		
R1	Island silt)		
	Have a water table above or close to the asset being constructed		
	Be subject to acid sulphate conditions		
	Be subject to slippage.		
R2	High density: This overlay is required in addition to another category when the works are to be		
	undertaken in an area where there are multiple buildings four or more stories high or continuous		
	high density retail premises.		
R3	Major crossing: This overlay is required in addition to another category when the works to be		
	undertaken involve crossing a:		
	 Significant waterway which contains water on a permanent or near permanent basis (ie: the waterway is visible from satellite images or a map) 		
	Highway or freeway which contains 4 or more lanes		
	Railway easement managed by Victrack.		
	Desalination plant electrical conduits/cables or pipeline		



Schedule 5– Required Insurances

The **Consultant** is required to have the following insurances:

- (d) Professional indemnity insurance to the amount of \$10 million per claim and not less than \$10 million in the annual aggregate and specifically list civil design;
- (e) Public liability insurance to the amount of \$20 million per claim and not less than \$20 million in the annual aggregate and the insurance must:
 - (i) note the interest of <u>SEW</u>;
 - (ii) specifically list civil engineering services;
 - (iii) identify any exemptions; and
 - (iv) specify period of currency; and
- (f) Any other insurances required by law.



Schedule 6 – Performance Regime

1. Key Performance Indicators

<u>SEW</u> will make assessments under the <u>Performance Regime</u> which form the basis of the <u>Performance Scorecard</u> and consist of:

(a) Construction Validation (weighting 25%)

- (i) Design errors/omissions impact on construction
- (ii) Collaboration Attitude and commitment to working relationship
- (iii) Collaboration Issue resolution
- (iv) Construction Auditing Currency of Drawings and Specifications issued to contractor
- (v) Construction Auditing Quality of As-built records
- (vi) Construction Auditing Frequency & quality of contractor auditing
- (vii) Construction Auditing Inspection & Test Plan implementation
- (viii) Construction Auditing Level of work inspections undertaken by <u>Consultant</u> before submitting for AOW
- (ix) Construction Auditing Audit Schedule implementation
- (x) Construction Auditing Quality and promptness of response to construction issues and nonconformances

(b) Development (weighting 15%)

- (i) Collaboration Attitude and commitment to working relationship
- (ii) Collaboration Issue resolution
- (iii) Construction Auditing Preconstruction documentation
- (iv) Construction Auditing Level of Risk assessment and understanding of these in relation to works. Including mitigating measures applied to reduce risks.

(c) Design (weighting 25%)

- (i) Collaboration Attitude and commitment to working relationship
- (ii) Collaboration Issue resolution
- (iii) Design Quality Volume of errors and omissions and severity
- (iv) Design Quality Number of repeat errors/omissions
- (v) Design Quality Plan clarity



(d) Office Audit (weighting 30%)

- (i) Volume of Non-Conformances (NCs) & Observations raised on self
- (ii) Volume of NCs & Observations raised on contractors/suppliers
- (iii) Management of NCs and Observations
- (iv) Response to QMS office audit

(e) Outstanding projects in Defects Liability Period {DLP} (weighting 5%)

(i) Outstanding projects in DLP

Any other matter with <u>SEW</u> considers relevant to assessing the performance of the <u>Consultant</u>.

2. Performance Scorecard

<u>Performance Scorecards</u> are issued 12 monthly by <u>SEW</u> to <u>Consultants</u> are each scored by <u>SEW</u> on, in its absolute discretion, by reference to the KPIs on a scale of 0-5 as detailed below in the <u>Performance Scorecard</u>;

Score	Rating	The extent to which the consultant meets performance requirements
5	Outstanding	Always well above the acceptable performance standard.
		Always demonstrated high levels of competency and use of innovation where appropriate.
		No evidence of errors or omissions.
		Exhibited high quality management skills to minimise or alleviate constructions risks.
4	Very Good	Often exceeds the required standard of performance.
		Mostly demonstrated high levels of competency and use of innovation where appropriate.
		Evidence of only some minor errors or omissions.
3	Good	Mostly demonstrated high levels of competency.
		Evidence of only some minor errors or omissions.
2	Acceptable	Reasonable achievement of the required standard of performance standard of performance.
		Some errors, risks, and weaknesses or omissions which can be corrected/overcome with minimum effort.
< 2	Unacceptable	Does not meet the required standard of performance and is not recommended to carry out this type of work.



3. Abbreviations

EDLP means End of Defects Liability Period i.e. maintenance period;

KPI means the Key Performance Indicators in paragraph 1;

NC means Non Conformance;

PDCA means Plan Do Check Act cycle; and

QA means Quality Assurance.

4. Accreditation Tier Management – 4 Tiers

The four accreditation tiers and their applicable criteria are as follows:

- (a) <u>Self-Certified</u> (Top performing <u>Consultants</u>):
 - (i) Top 10% performing KPI companies
 - (ii) Evidence of Self-reporting of Non Conformances Rectification: Root Cause, Corrective Action and Preventative Action and notifying South East Water of outcomes.
 - (iii) Evidence of the <u>Consultant</u> regularly self-applying the Performance Regime and advising SEW of any material outcomes.
 - (iv) Considerable evidence of continuous improvement (PDCA) as per ISO 9001 or Civil Construction Management Code (CCF) (South East Water to obtain copy of audit)
 - (v) Considerable Evidence of Non Conformances Rectification: Root Cause, Corrective Action and Preventative Action
 - (vi) Satisfactory Office Audit Report. i.e. audit pass, effective use of QA system, no major deficiencies of QA system
 - (vii) Excellent Response times for Non Conformance (NC) (closing out NCs, better than specified time frames)
 - (viii) Excellent Response quality (NC) (NCs closed out undertaking Root Cause, Corrective Action and Preventative Action)
 - (ix) Excellent Safety record (no work safe incidents stopping operations or breaches of the OH&S Act)
 - (x) Certified for AS/NZS ISO 9001 (Quality), a safety system adhering to AS/NZS ISO 4801/45001
 - (xi) Proactive Staff Training that exceeds <u>SEW</u> accreditation entry requirements
 - (xii) No outstanding EDLP projects
 - (xiii) Public Liability and Professional Indemnity insurance as per the application



- (xiv) Positive trending in Performance Scorecard.
- (xv) Minimum three (3) Significant Projects in two (2) years.
- (b) <u>Certified</u> (Majority of <u>Consultants</u>):
 - (i) Satisfactory or better performing KPIs
 - (ii) Commitment to reduce any outstanding EDLP projects (i.e. 12 month plan to eliminate outstanding EDLP projects)
 - (iii) Evidence or commitment to continuous improvement (PDCA) as per ISO 9001 (SEW to obtain copy of ISO 9001 audit)
 - (iv) Evidence of Non Conformances Rectification: Root Cause, Corrective Action and Preventative Action
 - (v) Satisfactory Office Audits Report i.e. audit pass, effective use of QA system, no major deficiencies of QA system
 - (vi) Satisfactory Response times for Non Conformance (NC) (closing out NCs within specified time frames)
 - (vii) Satisfactory Response quality for Non Conformance (NC) as per NC notice
 - (viii) Satisfactory Safety record, (no work safe incidents stopping operations or breaches of the OH&S Act)
 - (ix) Certification for AS/NZS ISO 9001 (Quality), a safety system adhering to AS/NZS ISO 4801/45001
 - (x) Public Liability and Professional Indemnity insurance as per the application
 - (xi) Satisfactory Staff Training. Satisfies <u>SEW</u> application entry requirements.
 - (xii) Minimum three Significant Projects in two (2) years.
- (c) <u>Qualified</u> (New <u>Consultants</u>):
 - (i) Commitment to continuous improvement (PDCA) as per ISO 9001 (SEW to obtain copy of ISO 9001 audit)
 - (ii) Procedures in place for Non Conformances Rectification: Root Cause, Corrective Action and Preventative Action
 - (iii) Satisfactory Office Audits Report i.e. passed
 - (iv) Procedures in place for satisfactory Response times (NC)
 - (v) Procedures in place for satisfactory of Response quality (NC)
 - (vi) Procedures in place for satisfactory Safety measures
 - (vii) Certified for ISO 9001 (Quality), a safety system adhering to AS/NZS ISO 4801/45001



- (viii) Public Liability and Professional Indemnity insurance as per the application
- (ix) Accredited Key personnel as per the application
- (x) Minimum one (1) <u>Significant Project</u> in the first year.
- (d) Project Specific (job approval required)

Project Specific may be issued to companies to demonstrate their abilities on a case by case basis. (start-up <u>companies</u>) Project specific companies will not be published on SEW's Accredited Contractor's List

- (i) Commitment to continuous improvement (PDCA) as per AS/NZS ISO 9001 (SEW to obtain copy of ISO 9001 audit)
- (ii) Procedures in place for Non Conformances Rectification: Root Cause, Corrective Action and Preventative Action
- (iii) Satisfactory Office Audits Report i.e. passed
- (iv) Procedures in place for satisfactory Response times (NC)
- (v) Procedures in place for satisfactory Response quality (NC)
- (vi) Procedures in place for satisfactory Safety measures
- (vii) Certified for AS/NZS ISO 9001 (Quality), a safety system adhering to AS/NZS ISO 4801/45001
- (viii) Public Liability and Professional Indemnity insurance as per the <u>SEW</u> application
- (ix) Accredited Key personnel as per the <u>SEW</u> application
- (x) Minimum one (1) Significant Project in the first year

5. Tier Movement Options

The Consultant may be:

- (a) Downgraded from <u>Self-Certified</u>, <u>Certified</u> or <u>Qualified</u>;
- (b) Upgraded from Project Specific, Qualified or Certified;
- (c) Suspended;
- (d) Deregistered;
- (e) Issued a Show Cause Notice;
- (f) Required to submit for Reapplication (time period 12 months);
- (g) Reduce work categories.



6. Upgrading of Accreditation

- (a) A <u>Consultant</u>'s <u>Accreditation</u> may be upgraded from <u>Certified</u> to <u>Self-Certified</u> if it demonstrates 12 months evidence of satisfactory <u>Self-Certified</u> criteria; and
- (b) A <u>Consultant</u>'s <u>Accreditation</u> may be upgraded from <u>Qualified</u> to <u>Certified</u> if it demonstrates 12 months evidence of satisfactory <u>Certified</u> criteria; and
- (c) A <u>Consultant</u>'s Accreditation may be upgraded from <u>Project Specific</u> to <u>Qualified</u> if it demonstrates 12 months evidence of satisfactory <u>Qualified</u> criteria with a minimum of three (3) satisfactorily completed projects.

7. Downgraded Tier

One or more of the following items or pieces of conduct on behalf of the <u>Consultant</u> may result in the <u>Consultant</u>'s <u>Accreditation</u> being downgraded:

- (a) Unsatisfactory Office Audit Report audit failure, ineffective use of QA system, major deficiencies of QA system; or
- (b) Unsatisfactory response times (not closing out NCs within specified time frames); or
- (c) Unsatisfactory Response quality (not addressing Root Cause, Corrective Action and Preventative Action); or
- (d) Significant outstanding EDLP projects of outstanding projects from the past 2 years (bottom 10% in comparison to the median); or
- (e) No evidence of commitment to continuous improvement i.e. Plan Do Check Act cycle, (PDCA) as per ISO 9001:2008; or
- (f) High number of Faults/Defects after Certificate of Completion (guide only); or
- (g) Breach of Environmental / safety requirements; or
- (h) Unsatisfactory actions in relation to a <u>Serious Incident</u>; or
- (i) Unsatisfactory response to a **Show Cause Notice**.
- (j) Unsatisfactory Performance Scorecard Report (score of less than 2)

8. Termination of Accreditation

One or more of the following items or pieces of conduct on behalf of the <u>Consultant</u> may result in the <u>Consultant</u>'s <u>Accreditation</u> being terminated.

- (a) Loss of <u>Key Personnel</u> (and not replaced); or
- (b) Unsatisfactory Office Audit Report audit failure, ineffective use of QA system, major deficiencies of QA system; or



- (c) Loss of entrance requirements for tiers; or
- (d) Serious OHS Law issue (work safe incident stopping operations); or
- (e) Fraudulent conduct (e.g. falsifying documents); or
- (f) Unethical dealings; or
- (g) An <u>Insolvency Event</u>.
- (h) Unsatisfactory actions in relation to a <u>Serious Incident</u>; or
- (i) Unsatisfactory response to a Show Cause Notice
- (j) Unsatisfactory Performance Scorecard Report (score of less than 2)

9. Show Cause Notice

One or more of the following items or pieces of conduct on behalf of the <u>Consultant</u> may result in a <u>Show Cause Notice</u> being issued:

- (a) Poor performance based on Performance Scorecard (score of less than 2); or
- (b) Unsatisfactory Response times (not closing out NCs within specified time frames); or
- (c) Unsatisfactory Response quality (not addressing Root Cause, Corrective Action and Preventative Action); or
- (d) Unsatisfactory outstanding EDLP projects of outstanding projects from the past 2 years (more than 10% of projects outstanding); or
- (e) No evidence of commitment to continuous improvement i.e. Plan Do Check Act cycle, (PDCA) as per ISO 9001:2008; or
- (f) Loss of <u>Key Personnel</u> (and not replaced); or
- (g) Unsatisfactory Office Audit Report audit failure, ineffective use of QA system, major deficiencies of QA system; or
- (h) Loss of entrance requirements for tiers refer to Arcus entry requirements; or
- (i) Fraudulent conduct (e.g. falsifying documents); or
- (j) Unethical dealings; or
- (k) Serious OHS Law issue (work safe incident stopping operations or breaches of the OH&S Act); or
- (1) Non-compliance to <u>SEW</u>'s live sewer entry requirements in Schedule 7 (non-negotiable); or
- (m) An <u>Insolvency Event</u>;
- (n) Non-compliance with <u>SEW</u>'s administrative procedures;
- (o) A Serious Incident;



- (p) Non-compliance with directions made under Public Health & Wellbeing Act 2008
- (q) A <u>Show Cause Notice</u> will be issued prior to Downgrading of Tier, Suspension or Termination actions.

10. Reapplication (after Termination) Entry to Qualified Tier

In order to re-apply for <u>Accreditation</u>, a <u>Consultant</u> must demonstrate that:

- (a) the non-conforming issues have been rectified; and
- (b) a preventative action plan is in place; and
- (c) at least 12 months, or such other period as nominated by <u>SEW</u> has passed since the time of Termination; and
- (d) there has been a Satisfactory Office Audit Report audit pass, effective use of QA system, no major deficiencies of QA system.

11. Renewal Requirements

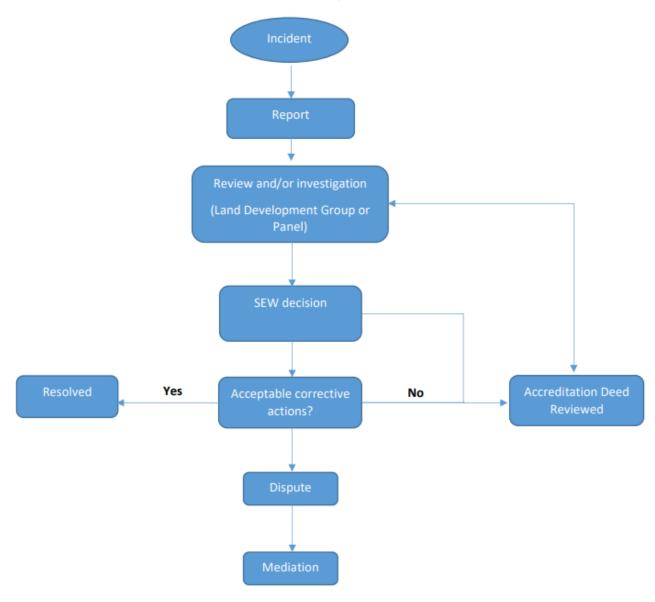
The renewal requirements for Accreditation are:

- (a) Demonstration by the <u>Consultant</u> of current activity with <u>SEW</u> as required by the relevant accreditation tier; and
- (b) A Declaration and Undertaking of <u>Accreditation</u> application requirements maintained.
- (c) Satisfactory Performance Scorecard



12. Serious incident process

SEW serious incident process





Schedule 7 – Live Sewer

Consultants wishing to undertake any works on Live Sewer (SC7) assets including:

- Inspection/Lifting of maintenance structures
- Connection to maintenance structures
- Break out existing sewer in new maintenance structures
- Connection for property branch
- Testing
- Alteration to maintenance structures
- Insertion of plug(s)
- Other

will be assessed separately by SEW's Network Operations area and agree to abide by all the procedure's conditions if they have applied for the Live Sewer category SC7.

Please apply separately to Network Operations (Jeff Dodd 03 9552 3392 or <u>Jeff.Dodd@sew.com.au</u>) for accreditation of this category.



Schedule 8 – SEW's Living Safely Rules

- 1. Trenching and excavation
- 2. Confined Space Entry
- 3. Working at Heights
- 4. Traffic Management
- 5. Mobile Plant
- 6. Electrical Safety
- 7. Isolation and tagging
- 8. Lifting Operations
- 9. Safe Driving
- 10. Chemicals and Hazardous Substances

Living Safely Rules as provided at <a href="http://southeastwater.com.au/building-and-development/deve

